San Jose State University ANTH 231 Applications Core, Sec. 1, Fall 2014 (43916)

Contact Information

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Office Hours: Mondays 10:00-11:00. 1:30-3; Tuesdays 12:00-1:30;

Wednesdays 9:00-10:00; and by arrangement

Class Days/Time: Monday 1800-2045

Classroom: WSQ 004

Prerequisites: Graduate Standing or instructor consent.

Course Description

Anth 231 Application Core. Methods for the analysis sociocultural systems, ethnographic evaluation, and program/design development. Emphasis on professionalism, project management, budgeting, ethics, and contracts (3 units).

This course is the first of the two-course Applications Core sequence in the graduate program in applied-practicing anthropology; the sequence is fundamentally about building basic skills in applying anthropology to "real world" problems. Students are first introduced to the history of applied-practicing anthropology, a tool kit of models for application, and the nature of ethical issues in applied work. We then develop skills in formulating social systems and the complex environments in which they exist. Next, the course explores evaluation research in anthropology, with a special focus on needs assessment and social impact assessment. In both cases the anthropologist leaves the realm of description and understanding, and makes judgments or assessments against some standards.

The course also facilitates the development of professional communication skills, and formulating problems that can be constructively addressed by anthropologists

Course Goals and Student Learning Objectives

Students who successfully complete this course will:

1. understand the history of applied-practicing anthropology and its relationship to specific organizations, public policies, and a larger social context;

- 2. know major models of applied-practicing anthropology;
- 3. be able to analyze the structure, functions, and processes of social systems using basic anthropological and social scientific concepts (e.g. social structure, roles, reciprocity, values, etc.);
- 4. be able to learn about the larger environments which affect social systems through literature searches, use of secondary data sources, and Internet resources;
- 5. understand basic principles and forms of evaluation;
- be able to conceptualize and conduct basic needs assessments and social impact assessments;
- 7. be able to appropriately use forms of basic professional communication, such as memos, reports, executive summaries, etc.; and
- 8. be knowledgeable about ethical principles in anthropology and how to protect the rights of various stakeholders in their projects, as well as to recognize threats to ethical social research.

Departmental Goals

The Department of Anthropology seeks to enhance student knowledge and skills in the following areas.

Knowledge

- 1. Understanding culture as the distinguishing phenomenon of human life, and the relationship of human biology and evolution.
- 2. Awareness of human diversity and the ways humans have categorized diversity.
- 3. Knowledge of the significant findings of archaeology, cultural anthropology, and physical anthropology, and familiarity of the important issues in each sub-discipline.
- 4. Knowledge of the history of anthropological thought and its place in modern intellectual history
- 5. Comprehension of migration, colonialism, and economic integration as significant phenomenon shaping global society.

Skills

- 6. Ability to access various forms of anthropological data and literature.
- 7. Awareness of importance and value of anthropological knowledge in contemporary society, and the ability to apply it to social issues.

- 8. Knowledge of the research methods of the sub-disciplines of anthropology, and the ability to apply appropriate research methods in at least one sub-discipline.
- 9. Ability to present and communicate anthropological knowledge and the results of anthropological research to different audiences.

Professional Values

10. Knowledge of political and ethical implications of social research

Required Texts/Readings

- 1. Bardach, Eugene (2012). A Practical Guide for Policy Analysis: The Eightfold Path to More Effective Problem Solving. Los Angerles: Sage.
- 2. Davidson, E. Jane (2005). *Evaluation Methodology Basics: The Nuts and Bolts of Sound Evaluation*. Thousand Oaks, CA: Sage.
- 3. Goldman, Lawrence R. (2000). *Social Impact Assessment: An Applied Anthropology Manual.* Oxford, UK: Berg.
- 4. Harrison, Michael (2004). Diagnosing Organizations, 3E. Thousand Oaks, CA: Sage.
- 5. Moeran, Brian (2005) *The Business of Ethnography: Strategic Exchanges, People and Organizations*. New York: Berg.
- 6. Whiteford, Linda M. & Trotter, Robert T. (2008). *Ethics for Anthropological Research and Practice*. Long Grove, IL: Waveland.

Other Readings

<u>Human Organization</u> journal articles (all available online through King Library)

Austin, D. (2004). Partnerships, not projects! Improving the environment through collaborative research and action. 63(4): pp. 419-430.

Baba, M. (1999). Dangerous Liaisons: Trust, distrust, and information technology in American work organizations. 58(3): 331-346.

Brighton, S. A. (2011). Applied archaeology and community collaboration: Uncovering the past and empowering the present. 70(4): 344-354.

Casagrande, D. G., Hope, D., Farley-Metzger, E., Cook, W., Yabiku, S., & Redman, C, (2007). Problem and opportunity: Integrating anthropology, ecology, and policy through adaptive experimentation in the urban U.S. Southwest. 66(2): pp. 125-139.

Collins, S., Durington, M., Daniels, G., Demyan, N., Rico, D., Beckles, J., & Heasley, C. (2013). Tagging culture: Building a public anthropology through social media. 72(4): 358-368.

Fast, D. Shoveller, Small, W., & Kerr, T. (2013). Did somebody say community? Young people's critiques of conventional community narratives in the context of a local drug scene. 72(2): 98-110.

Foster, T., Olsen, L., Dale, V., & Cohen, A. (2010). Studying the past for the future: Managing modern biodiversity from historic and prehistoric data. 69(2): 149-157.

Hadley, C. & Wutich, A. (2009). Experience-based measures of food and water security: Biocultural approaches to grounded measure of insecurity. (68(4): 451-460.

Hampshire, K, E. Hills, & N. Iqbal (2005). Power relations in participatory research and community development: A case study from Northern England. 64(4): pp. 340-349.

Heyman, J. (2004). The anthropology of power-wielding bureaucracies. 63(4): 487-500.

Jian, L. (2009). Socioeconomic barriers to biogas development in rural southwest China. (68(4): 415-430.

Kingston, D. M. and Marino, E. (2010). Twice removed: King Islanders' experience of :community' through two relocations. 69(2): 119-128.

Lane, M., Ross, H., and Dale, A. (1997). Social impact research: Integrating the technical, political and planning paradigms. 56(3): 302-310.

Little, P. (2013). Vapor intrusion: The political ecology of an emerging environmental health concern. 72(2): 121-131.

Messerschmidt, D. (2008). Evaluating appreciative inquiry as an organizational transformation tool: An assessment from Nepal. 67(4): 454-468.

Moore, R. J., Gathman, E. C. H., & Ducheneaut (2009). From 3D space to Third Space: The social life of small virtual spaces. (68(2): 230-240.

Natcher, D. & C. Hickey (2002). Putting community back into community-based resource management: A criteria and indicators approach to sustainability. 61(4): 350-363.

Palinkas, L., Downs, M., Peterson, J., and Russell, J. (1999). Social, cultural and psychological impacts of the Exxon Valdez oil spill. 52(1): 1-13.

Pollini, J. (2011). The difficult reconciliation of conservation and development objectives: The case of the Malagasy Environmental Action Plan. 70(1): 74-87.

Ratner, B. (2004). Reasserting community: The social challenge of wastewater management in Panajachel, Guatemala. 63(1): 47-56.

Roberts, J., Roberts, A., & Brewer, D. (2014). Network contacts and activity domains: Information-sharing among police agencies. 73(1): 13-24.

Rolston, J. S. (2010). Risky business: Neoliberalism and workplace safety in Wyoming coal mines. 69(4). 331-342.

Sankar, A., & Luborsky, M. (2003). Developing a community-based definition of needs for persons living with chronic HIV. 62(2): 153-165.

Schensul, J. J. (2010). Engaged universities, community based research organizations and Third Sector Science in a global system. (69(4): 307-320.

Tilt, B. (2006). Perceptions of risk from industrial pollution in China: A comparison of occupational groups. 65(2): 115-127.

Treitler, I & Midgett, D. (2007). It's about water: Anthropological perspectives on water and policy. 66(2): 140-149.

West, C. T. & Vasquez-Leon, M. (2008). Misreading the Arizona landscape: Reframing analyses of environmental degradation in Southwestern Arizona. 67(4): 373-383.

Westman, C. (2013). Social impact assessment: and the anthropology of the future in Canada's tar sands. (72(2):111-120.

Other readings (available electronically from instructor):

Bardach, E. (2005). "The eightfold path"

Mathie, A., & Cunningham, G. (2003). Who is driving development? Reflections on the transformative potential of asset-based community development. Coady International Institute Occasional Paper Series #5. Antigonish, Nova Scotia: Coady International Institute.

Mathie, A. & Puntenney, D. (2009). From clients to citizens: Deepening the practice of asset-based and citizen-led development. Antigonish, Nova Scotia: Coady International Institute.

Peters, B., Gonsamo, M., Molla, S., & Mathie, A. (2009). Applying an asset-based community development (ABCD) approach in Ethiopia. Antigonish, Nova Scotia: Coady International Institute.

Assignments and Grading Policy

- 1. Discussion Synthesis (15%). Each of you will be asked to take notes on the class discussions twice during the semester and to then prepare a synthesis (not a summary, much less an utterance by utterance regurgitation) of what was said that is useful to your colleagues. You will distribute it via email to me and your classmates the following week, and make sure I get a paper copy.
- 2. Weekly Participation (15%). This is a graduate class and it only works if everyone comes prepared and participates. Passive presence is nice, but it shifts the burden to other students so contributing your ideas is expected. Don't think about pleading introversion or shyness—I'm afflicted with both myself but manage to overcome them in professional settings (like a class). However, don't obsess either. The semester is long and there will be many opportunities to participate. Always remember that (1) you cannot participate without attending and (2) thoughtful contributions are more valuable than seizing airtime.
- 3. Readings Syntheses (25%). Students will prepare a concise summary of each week's readings: summarize each reading (article or chapter; individual chapters, if the reading is a book) in 2-3 sentences. The test of a good summary is whether you could tell—say about a year later—what the material that you summarized was about and whether it would be worth rereading it for some purpose. Then propose at least five issues for the entire collection of readings that will serve to focus the evening's discussion. "Issues" may include questions, points of critique, implications, connections, good or interesting ideas, etc. You are especially encouraged to (1) think of how one article or chapter connects to another and (2) how the readings from different weeks connect. You may

collaborate on preparing reading notes, but only individual submissions will be graded and there is a risk that cutting and pasting will be interpreted as cheating. Make sure your issues reflect the workings of your own mind. These must be turned in the week the readings are due unless you have made other arrangement with me. If you have trouble with this format, please let me know so we can address it quickly. The week number of the readings that your paper addresses is your paper title; the individual readings should also be provided as full citation references before the body of the paper.

- 4. Application Scenarios (25%). Students will prepare three application papers based on scenarios provided by the instructor and following a template provided in class. The scenarios will be based on the topics we discuss throughout the semester and you will have at least a week to work on each assignment. Class input on length will be solicited, but the goal is to prepare concise, detailed, and "actionable" papers.
- 5. Final Exam (20%). Based on all readings and discussions, students will create a personal portfolio of applied-practicing anthropology methods and techniques that constitute the basis for their practitioner toolkit. This toolkit will be extended in ANTH 232.

Finally, I will stop reading your paper when I get to the fifth mechanical (spelling, punctuation, grammar) error and return it to you ungraded. You must revise the paper, eliminate all such errors (including beyond where I stopped reading), and resubmit it for grade. There will be no penalty for the first revision, but a 10% reduction in grade on any second revisions, and an additional 10% on the third revision. After that? I will not grade the paper. Writing is the single most important skill you should develop and I have great faith that you can do so. I am happy to help you meet the standard, but I will not lower it.

Course grades will be assigned as follows: 100-90% = A; 89-80% = B; 79-70% = C; 69-60% = D; and below 60% = F. Plus and minus grades may be assigned at the instructor's discretion.

Classroom Protocol

Each class addresses a single, albeit often complex topic. Readings are assigned as per the class calendar below and you are responsible for completing them before class meets. You simply cannot contribute in a meaningful way without having read the material and either mastered it or be able to identify the questions that *would* help you master it. The goal for each class meeting is to synthesize the implications for the readings for the application and practice of anthropology in real world settings. Think of it as providing a high level introduction to some facet of application that you may then wish to explore in more depth as your own interests coalesce.

We will begin class sessions by summarizing or otherwise working through the individual readings, so you should be prepared to do so. The time devoted to these activities will vary due to differences in the number of readings and their difficulty. I will be making sure that, in our zeal to discuss "big" (i.e. "academic") issues, we don't overlook points that are less exciting but that can be extremely helpful in the world of practice and application. The initial discussion will probably take about 60+ minutes, with varying patterns of lecture and discussion. Then we will discuss the larger issues raised by the readings considered individually and collectively. "Issues" here can be cross-cutting or underlying themes; questions or concerns; extensions or applications; epiphanies or insights; and strengths and weaknesses. Some critique is fine, but endless critique that results in a sort of paralysis of action is to be avoided. Eventually, you *do* something in applied anthropology and the goal of the course is to cultivate skills in getting on with it. You will be well-prepared to contribute issues since you will be developing them each week after you complete the readings. In this free-wheeling discussion phase each of you will be asked to pose one or more issues for discussion and I will write them on the board. After some condensing/arranging, we will discuss as many ideas as possible and then summarize our discussions before we depart.

Other sessions will break with this template if there are opportunities to explore the material and issues in different ways, such as working through challenges posed by actual projects.

University Policies

Dropping and Adding

Students are responsible for understanding the policies and procedures about add/drops, academic renewal, etc. Information on add/drops are available at http://info.sjsu.edu/web-dbgen/narr/soc-fall/rec-298.html. Information about late drop is available at http://www.sjsu.edu/sac/advising/latedrops/policy/. Students should be aware of the current deadlines and penalties for adding and dropping classes.

Information about the latest changes and news is available at the <u>Advising Hub</u> at http://www.sjsu.edu/advising/.

Recording

Course material developed by the instructor is the intellectual property of the instructor and cannot be shared publicly without his/her approval. You may not publicly share or upload instructor generated material for this course such as exam questions, lecture notes, or homework solutions without instructor consent.

Common courtesy and professional behavior dictate that you notify someone when you are recording him/her. You must obtain the instructor's permission to make audio or video recordings in this class. Such permission allows the recordings to be used for your private, study purposes only. The recordings are the intellectual property of the instructor; you have not been given any rights to reproduce or distribute the material."

Academic integrity

Students should know that the University's <u>Academic Integrity Policy is availabe at http://www.sa.sjsu.edu/download/judicial_affairs/Academic_Integrity_Policy_S07-2.pdf</u>. Your own commitment to learning, as evidenced by your enrollment at San Jose State University and the University's integrity policy, require you to be honest in all your academic course work. Faculty members are required to report all infractions to the office of Student Conduct and Ethical Development. The website for <u>Student Conduct and Ethical Development is available at http://www.sa.sjsu.edu/judicial_affairs/index.html</u>.

Instances of academic dishonesty will not be tolerated. Cheating on exams or plagiarism (presenting the work of another as your own, or the use of another person's ideas without giving proper credit) will result in a failing grade and sanctions by the University. For this class, all assignments are to be completed by the individual student unless otherwise specified. If you would like to include in your assignment any material you have submitted, or plan to submit for another class, please note that SJSU's Academic Policy F06-1 requires approval of instructors.

Campus Policy in Compliance with the American Disabilities Act

If you need course adaptations or accommodations because of a disability, or if you need to make special arrangements in case the building must be evacuated, please make an appointment with me as soon as possible, or see me during office hours. Presidential Directive 97-03 requires that students with disabilities requesting accommodations must register with the DRC (Disability Resource Center) to establish a record of their disability.

ANTH 231 Applications Core, Fall 2014, Mon. 6pm-8:45pm

Week	Date	Topics, Readings, Assignments, Deadlines
1	8/25	Introductions and Course Overview Where does this course fit into the graduate program and how will we be communicating in class during the semester?
		DISCUSSION GUIDE, WEEKLY READINGS GUIDE, AND FINAL EXAM DISTRIBUTED
2	9/1	LABOR DAY – NO CLASS
3	9/8	Two Dichotomies: (1) Applied and Practicing Anthropology and (2) Theses and Projects
		How has applied-practicing anthropology developed within the discipline of anthropology and in the context of changing relationships of humans to their complex environments?
		Readings:
		Austin, D. (2004). Partnerships, not projects! Improving the environment through collaborative research and action
		Brighton, S. A. (2011). Applied archaeology and community collaboration: Uncovering the past and empowering the present.
		 Collins, S., Durington, M., Daniels, G., Demyan, N., Rico, D., Beckles, J., & Heasley, C. (2013). Tagging culture: Building a public anthropology through social media.
		 Schensul, J. J. (2010). Engaged universities, community based research organizations and Third Sector Science in a global system.
4	9/15	Ethics, Writ Small and Large
		What are the large and small ethical issues that applied-practicing anthropologists encounter and how do they affect how anthropological skills and knowledge are used?
		Readings:
		Whiteford, Linda M. & Trotter, Robert T. (2008). Ethics for Anthropological Research and Practice. Long Grove, IL: Waveland.

Week	Date	Topics, Readings, Assignments, Deadlines
5	9/22	Social Systems: Organizations
		How can we analyze organizations (company, non-profit, agency, department, etc.) as social systems?
		Readings:
		Harrison, M. (2004). <u>Diagnosing organizations</u> (3 rd ed.). Thousand Oaks, CA: Sage. (Read Chapters 1-4)
		Then read any two three of the following articles from <u>Human</u> <u>Organization:</u>
		Baba, M. (1999). Dangerous Liaisons: Trust, distrust, and information technology in American work organizations.
		Heyman, J. (2004). The anthropology of power-wielding bureaucracies
		 Roberts, J., Roberts, A., & Brewer, D. (2014). Network contacts and activity domains: Information-sharing among police agencies.
		SCENARIO #1 DISTRIBUTED
6	9/29	Social Systems: Communities
		How can we analyze communities (company, non-profit, agency, department, etc.) as social systems?
		Read any three of the following articles from <u>Human Organization</u> .
		Natcher, D. & C. Hickey (2002). Putting community back into community-based resource management: A criteria and indicators approach to sustainability.
		 Hampshire, K, E. Hills, & N. Iqbal (2005). Power relations in participatory research and community development: A case study from Northern England.
		Ratner, B. (2004). Reasserting community: The social challenge of wastewater management in Panajachel, Guatemala.
		Fast, D. Shoveller, Small, W., & Kerr, T. (2013). Did somebody say community? Young people's critiques of conventional community narratives in the context of a local drug scene.

Week	Date	Topics, Readings, Assignments, Deadlines
		5. Moore, R. (2009). From 3D space to Third Place.
7	10/6	Social Systems: Distributed Perspectives
		How can we capture social complexity beyond organizations and communities?
		Reading: Moeran, B. (2005). The business of ethnography
8	10/13	Environments
		What are the "natural" constraints that actually or potentially affect a specific social system, such as an organization or community, and how do people understand them?
		Readings:
		Harrison, M. (2004). <u>Diagnosing organizations</u> (3 rd ed.). Thousand Oaks, CA: Sage. (Chapters 5 & 6)
		Then read any two of the following:
		 Tilt, B. (2006). Perceptions of risk from industrial pollution in China: A comparison of occupational groups.
		Jian, L. (2009). Socioeconomic barriers to biogas development in rural southwest China
		 Hadley, C. & Wutich, A. (2009). Experience-based measures of food and water security.
		4. Foster, T. (2010). Studying the past for the future.
		 Little, P. (2013). Vapor intrusion: The political ecology of an emerging environmental health concern. 72(2): 121-131.
		SCENARIO #1 DUE
9	10/20	And non-"natural" Environments How do we understand the broader social environment?
		Readings:
		1. Casagrande, D. G., Hope, D., Farley-Metzger, E., Cook, W.,

Week	Date	Topics, Readings, Assignments, Deadlines
		Yabiku, S., & Redman, C, (2007). Problem and opportunity: Integrating anthropology, ecology, and policy through adaptive experimentation in the urban U.S. Southwest.
		 West, C. T. & Vasquez-Leon, M. (2008). Misreading the Arizona landscape: Reframing analyses of environmental degradation in Southwestern Arizona.
		 Treitler, I & Midgett, D. (2007). It's about water: Anthropological perspectives on water and policy.
		4. Pollini, J. (2011). The difficult reconciliation of conservation and development objectives: The case of the Malagasy Environmental Action Plan.
		SCENARIO #2 DISTRIBUTED
10	10/27	Public Policy
		What is the policy setting process and where can anthropologists fit into it?)
		Readings: Bardach Part 1
11	11/3	Public Policy
		What is the process of public policy setting and what are the implications for applied-practicing anthropologists?
		Readings: Bardach Parts 2, 3 and Appendices A-D
12	11/10	Needs Assessment and Evaluation
		How do applied-practicing anthropologists conduct needs assessments and what are the conditions under which doing so is appropriate? How is it related to evaluation?
		SCENARIO #2 DUE
		Readings:
		 Davidson, E. Jane 2005). Evaluation Methodology Basics: The Nuts and Bolts of Sound Evaluation. Thousand Oaks, CA: Sage. (Chs. 1-4 only)
		 Sankar, A., & Luborsky, M. (2003). Developing a community- based definition of needs for persons living with chronic HIV.

Week	Date	Topics, Readings, Assignments, Deadlines
		 Rolston, J. S. (2010). Risky business: Neoliberalism and workplace safety in Wyoming coal mines.
13	11/17	Evaluation
		What is the field of evaluation research and the fundamental goals and types of evaluation projects?
		Readings:
		Davidson, E. Jane 2005). <i>Evaluation Methodology Basics: The Nuts and Bolts of Sound Evaluation.</i> Thousand Oaks, CA: Sage. (Chs. 5-11 only)
		SCENARIO #3 DISTRIBUTED
14	11/24	Asset-Based Community Development and Social Impact Assessment
		How do needs-based approaches compare and contrast with asset based approaches? Can they be integrated?
		Readings: Read Messerschmidt and then skim/read one of the three articles that follow.
		Messerschmidt, D. (2008). Evaluating appreciative inquiry as an organizational transformation tool: An assessment from Nepal.
		 Peters, B. et al. (2009). Applying an asset-based community development (ABCD) approach in Ethiopia.
		3. Mathie, A. & Puntenney, D. (2009). From clients to citizens.
		4. Mathie, A. & Cunningham, G (2003). Who is driving development?
15	12/1	Social Impact Assessment
13	12/1	How do applied-practicing anthropologists conduct social impact assessments and what are the conditions under which doing so is appropriate?
		Reading: Goldman, L. R. (2000). Social impact assessment: An applied anthropology manual. Oxford, UK: Berg. Chapters 1-4.
16	12/8	Social Impact Assessment
		How do applied-practicing anthropologists conduct social impact assessments and what are the conditions under which doing so is appropriate?

Week	Date	Topics, Readings, Assignments, Deadlines
Final Exam	12/15 1715-1930 (Note: may be scheduled 1800-1930)	Readings: 1. Goldman, L. R. (2000). Social impact assessment: An applied anthropology manual. Oxford, UK: Berg. Chapters 5-10. Each student should read any two of the chapters, as per your interests. 2. Lane, M., Ross, H., and Dale, A. (1997). Social impact research: Integrating the technical, political and planning paradigms. 3. Palinkas, L., Downs, M., Peterson, J., and Russell, J. (1993). Social, cultural and psychological impacts of the Exxon Valdez oil spill. 4. Westman, C. (2013). Social impact assessment: and the anthropology of the future in Canada's tar sands. FINAL EXAM DUE: TOOLKIT SCENARIO #3 DUE