San José State University
Department of Computer Science
CS/SE 153
Concepts of Compiler Design
Section 1
Fall 2018

Course and Contact Information
Instructor: Ron Mak
Office Location: ENG 250
Email: ron.mak@sjsu.edu
Website: http://www.cs.sjsu.edu/~mak/
Office Hours: TuTh 3:00 - 4:00 PM
Class Days/Time: TuTh 9:00 – 10:15 AM
Classroom: Class: MH 222
Prerequisites: CS 47 or CMPE 102, CS 146, and CS 154 (with a grade of "C-") or better in each; Computer Science, Applied and Computational Math, or Software Engineering majors only; or instructor consent.

Course Format
This course will be taught primarily via classroom presentations.

Faculty Web Page and Canvas
Course materials, syllabus, assignments, grading criteria, exams, and other information will be posted at my faculty website at http://www.cs.sjsu.edu/~mak and on the Canvas Learning Management System course login website at http://sjsu.instructure.com. You are responsible for regularly checking these websites to learn of any updates. You can find Canvas video tutorials and documentations at http://ges.sjsu.edu/canvas-students

Course Catalog Description
“Theoretical aspects of compiler design, including parsing context free languages, lexical analysis, translation specification and machine-independent code generation. Programming projects to demonstrate design topics.”

Course Goals
This course will concentrate on practical aspects of compiler construction, programming language design, and engineering a large, complex software application.

- **Compiler construction and language design.** Design and build a working compiler for a programming language that you invented. Write sample programs in your language and then compile them into executable machine code that you can run.

- **Software engineering.** Employ the best practices of object-oriented design and team-based software engineering. A compiler is a large, complex program! Managing the development of such a program requires learning *critical job skills that are highly desired by employers.*
Course Learning Outcomes (CLO)

Upon successful completion of this course, students will be able to:

CLO 1: Develop a **scanner** and a **parser** for a programming language.
CLO 2: Perform **syntactic and semantic analyses** of source programs.
CLO 3: Generate **symbol tables** and **intermediate code** for source programs.
CLO 4: Develop an **interpreter** that executes a source program in a suitable runtime environment.
CLO 5: Design the **grammar** for a programming language and feed it into a **compiler-compiler**.
CLO 6: Develop a **compiler** that translates a source program into executable machine code.
CLO 7: Engineer a large, complex software application.

Required texts

<table>
<thead>
<tr>
<th>Title: Writing Compilers and Interpreters, 3rd edition</th>
<th>Author: Ronald Mak</th>
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<table>
<thead>
<tr>
<th>Title: The Definitive ANTLR 4 Reference, 2nd edition</th>
<th>Author: Terence Parr</th>
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</thead>
<tbody>
<tr>
<td>Publisher: Pragmatic Bookshelf</td>
<td>ISBN: 978-1934356999</td>
</tr>
<tr>
<td>Source files: <a href="http://www.antlr.org">http://www.antlr.org</a></td>
<td></td>
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</table>

We will use the **ANTLR 4 compiler-compiler** during the second half of the course, so you won’t need the ANTLR text until then. ANTLR 4 can generate compiler components written in either Java or C++.

We will use Pascal as an example source language. These online Pascal tutorials are helpful:

- [Pascal Tutorial](http://www.pascal-tutorial.org) looks very good. It even has an online compiler.
- [Learn Pascal](http://www.learnpascal.com) also looks good, although it doesn't appear to cover set types.

Some online websites to compile and run Pascal programs:

- [http://rextester.com/l/pascal_online_compiler](http://rextester.com/l/pascal_online_compiler)
- [https://www.tutorialspoint.com/compile_pascal_online.php](https://www.tutorialspoint.com/compile_pascal_online.php)
- [https://www.jdoodle.com/execute-pascal-online](https://www.jdoodle.com/execute-pascal-online)
Course Requirements and Assignments

You must have good Java programming skills and be familiar with development tools such as Eclipse.

You will form project teams of four students each. *Team membership is mandatory for this class.* The teams will last throughout the semester. Once the teams are formed, you will not be allowed to move from one team to another, so form your teams wisely!

Weekly team assignments during the first part of the semester will provide practice with compiler design techniques and give students experience adding new features to a large legacy code base. Each student on a team will receive the same score for each team assignment.

Each team will submit its assignments into Canvas, where the rubric for scoring each will be displayed. Each assignment and project will be worth up to 100 points. Late assignments will lose 20 points and an additional 20 points for each 24 hours after the due date.

*This is a challenging course that will demand much of your time and effort throughout the semester.*

The university’s syllabus policies:

- [University Syllabus Policy S16-9](http://www.sjsu.edu/senate/docs/S16-9.pdf)
- Office of Graduate and Undergraduate Program’s [Syllabus Information web page](http://www.sjsu.edu/gup/syllabusinfo/)

“Success in this course is based on the expectation that students will spend, for each unit of credit, a minimum of 45 hours over the length of the course (normally 3 hours per unit per week with 1 of the hours used for lecture) for instruction or preparation/studying or course related activities including but not limited to internships, labs, clinical practica. Other course structures will have equivalent workload expectations as described in the syllabus.”
**Team compiler project**

In addition to the team assignments, each student team will work on a compiler project throughout the semester. Each team will develop a working compiler for a newly invented language or for an existing language. Teams will be able to write, compile, and execute programs written in their invented or chosen languages. *Each student on a team will receive the same score for the team project.* Each project involves:

- Invent a new programming language or choose a subset of an existing language.
- Develop a grammar for the language.
- Generating a compiler for the language using the ANTLR compiler-compiler. Other components may be borrowed from the compiler code given in the class.

A **minimally acceptable compiler** project has at least these features:

- Two data types with type checking.
- Basic arithmetic operations with operator precedence.
- Assignment statements.
- A conditional control statement (e.g., IF).
- A looping control statement.
- Procedures or functions with calls and returns.
- Parameters passed by value or by reference.
- Basic error recovery (skip to semicolon or end of line).
- Nontrivial sample programs written in the source language.
- Generate Jasmin assembly code that can be successfully assembled.
- Execute the resulting .class file.
- No crashes (e.g., null pointer exceptions).

Each team will write a report (5-10 pp.) that includes:

- A high-level description of the design of the compiler with UML diagrams of the major classes.
- The grammar for your source language, either as syntax diagrams or in BNF.
- Code templates that show the Jasmin code your compiler generates for some key constructs of the source language.

**Exams**

The midterm and final examinations will be closed book. There can be no make-up midterm examination unless there is a documented medical emergency. Make-up final examinations are available only under conditions dictated by University regulations.

The exams will test understanding (not memorization) of the material taught during the semester and now well each of you participated in your team assignments and project.
Grading Information
Individual total scores will be computed with these weights:

- 30% Assignments*
- 35% Compiler project*
- 15% Midterm exam**
- 20% Final exam**

* team scores
** individual scores

Course grades will be based on a curve. The median total score will earn a B–. Approximately one third of the class will earn higher grades, and another one third will earn lower grades.

Postmortem report
At the end of the semester, each student must also turn in a short (under 1 page) individual postmortem report that includes:

- A brief description of what you learned in the course.
- An assessment of your accomplishments for your team assignments and design project.
- An assessment of each of your other project team members.

Only the instructor will see these reports. How your teammates evaluate you may affect your course grade.

Classroom Protocol
It is very important for each student to attend classes and to participate. Mobile devices in silent mode, please.

University Policies
Per University Policy S16-9, university-wide policy information relevant to all courses, such as academic integrity, accommodations, etc. will be available on Office of Graduate and Undergraduate Program’s Syllabus Information web page at http://www.sjsu.edu/gup/syllabusinfo/.
## Course Schedule (subject to change with fair notice)

- **WCI** = *Writing Compilers and Interpreters, 3rd edition*
- **ANTLR** = *The Definitive ANTLR 4 Reference, 2nd edition*

<table>
<thead>
<tr>
<th>Week</th>
<th>Date</th>
<th>Topics</th>
<th>Readings</th>
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</table>
| 1    | Aug 21     | Overview of the course  
What are compilers and interpreters?  
A software framework for compilers and interpreters  
Syntax diagrams  
*Form programming teams* | WCI 1, 2, 3 |
|      | Aug 23     |                                                                        |           |
| 2    | Aug 28     | Scanning (lexical analysis)  
Symbol table management  
Top-down recursive-descent parsing | WCI 4  
WCI 5 |
|      | Aug 30     |                                                                        |           |
| 3    | Sept 4     | Parse assignment statements and expressions  
Intermediate code (parse trees) | WCI 5 |
|      | Sept 6     |                                                                        |           |
| 4    | Sept 11    | Interpret assignment statements and expressions  
Parsing control statements  
Parser error handling | WCI 6  
WCI 7 |
|      | Sept 13    |                                                                        |           |
| 5    | Sept 18    | Interpret control statements  
Runtime error handling  
Parsing declarations | WCI 8  
WCI 9 |
|      | Sept 20    |                                                                        |           |
| 6    | Sept 25    | Parse declarations, *cont'd*  
Semantic actions and type checking | WCI 9  
WCI 10 |
|      | Sept 27    |                                                                        |           |
| 7    | Oct 2      | Scope and the symbol table stack  
Parse programs, procedures, and functions  
Parse procedure and function calls  
Runtime memory management  
The runtime stack and activation frames | WCI 11  
WCI 12 |
|      | Oct 4      |                                                                        |           |
| 8    | Oct 9      | Pass parameters by value and by reference  
*Midcourse review*  
*Midterm exam Thursday, October 11* | WCI 12 |
<p>|      | Oct 11     |                                                                        |           |</p>
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<tr>
<th>Week</th>
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<th>Topics</th>
<th>Readings</th>
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<tbody>
<tr>
<td>9</td>
<td>Oct 16</td>
<td>A simple DFA scanner</td>
<td>ANTLR 1-4</td>
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<td>Oct 18</td>
<td>BNF grammars for programming languages</td>
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<td>The ANTLR compiler-compiler</td>
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<td>10</td>
<td>Oct 23</td>
<td>Generate a scanner and a parser with ANTLR</td>
<td>ANTLR 5, 6</td>
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<td>Oct 25</td>
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<td>11</td>
<td>Oct 30</td>
<td>The Java Virtual Machine (JVM) architecture</td>
<td>WCI 15</td>
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<td>Nov 1</td>
<td>Jasmin assembly language</td>
<td>ANTLR 7, 8</td>
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<td>Code templates and code generation</td>
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<tr>
<td>12</td>
<td>Nov 6</td>
<td>Code for expressions</td>
<td>WCI 16</td>
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<td>Nov 8</td>
<td>Code for assignment statements</td>
<td>ANTLR 9</td>
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<tr>
<td>13</td>
<td>Nov 13</td>
<td>Code for procedure and function calls</td>
<td>WCI 17</td>
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<td>Nov 15</td>
<td>Code to pass parameters by value and by reference</td>
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<td>Code for string operations</td>
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<td>14</td>
<td>Nov 20</td>
<td>Code for control statements</td>
<td>WCI 18</td>
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<td>Code for arrays</td>
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<td>Code for records</td>
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<td>15</td>
<td>Nov 27</td>
<td>Execute compiled Pascal programs</td>
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<td>Nov 29</td>
<td>Bottom-up parsing</td>
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<td>Lex and Yacc</td>
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<td>Code optimization</td>
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<td>16</td>
<td>Dec 4</td>
<td>Team compiler demos (optional)</td>
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<td>Dec 6</td>
<td>Course review</td>
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<td>Final Exam</td>
<td>Thursday</td>
<td>Time: 7:15 - 9:30 AM</td>
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<td>Dec 13</td>
<td>Room: MH 222</td>
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