I. Call to Order and Roll Call –

II. Approval of Minutes: None

III. Communications and Questions:
   A. From the Chair of the Senate
   B. From the President of the University

IV. Executive Committee Report:
   A. Minutes of the Executive Committee –
   B. Consent Calendar –
      Consent Calendar of October 10, 2016
   C. Executive Committee Action Items –

V. New Business:

VI. Unfinished Business:

VII. Policy Committee and University Library Board Action Items (In rotation)
   A. Curriculum and Research Committee (C&R)
   B. Instruction and Student Affairs Committee (I&SA):
   C. Professional Standards Committee (PS):
   D. Organization and Government Committee (O&G)
   E. University Library Board (ULB)

VIII. State of the University Announcements:
   A. Provost
   B. Vice President for Administration and Finance
   C. Vice President for Student Affairs
   D. Associated Students President
   E. Vice President for University Advancement
   F. Statewide Academic Senators
IX. Special Committee Reports:
University Budget Report by Vice President Charlie Faas

Academic Affairs Budget Report by Provost and VP of Academic Affairs Andy Feinstein, and Marna Genes, AVP Academic Budgets and Planning

X. Adjournment:
<table>
<thead>
<tr>
<th>Committee</th>
<th>Last Name/First Name</th>
<th>Term</th>
<th>Phone</th>
<th>Seat/College</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program Planning Committee</td>
<td>Megwalu, Anamika</td>
<td>2019</td>
<td>408-808-2089</td>
<td>Library</td>
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<td>University Library Board</td>
<td>Riley, Shannon Rose</td>
<td>2017</td>
<td>41365</td>
<td>FAL, Humanities and Arts</td>
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<td>Budget Advisory Committee</td>
<td>Ormsbee, Todd</td>
<td>2019</td>
<td>44544</td>
<td>Humanities and Arts</td>
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<tr>
<td>Organization and Government</td>
<td>Bailey, Jaye</td>
<td></td>
<td>41177</td>
<td>Ex Officio</td>
</tr>
<tr>
<td>Strategic Planning Steering Committee</td>
<td>Vogel, Aaron</td>
<td>2017</td>
<td>Not published</td>
<td>Graduate Student</td>
</tr>
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<td>Student Evaluation Review Board</td>
<td>Smith, Jerilyn &quot;Gigi&quot;</td>
<td>2019</td>
<td>43081</td>
<td>CASA</td>
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<tr>
<td>Institutional Review Board</td>
<td>Raman, Priya</td>
<td>2017</td>
<td>45371</td>
<td>FAL-one year (Engineering)</td>
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<tr>
<td>Graduate Studies and Research</td>
<td>Chan, Emily</td>
<td>2017</td>
<td>82044</td>
<td>FAL - one year (Engineering)</td>
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</table>

**REMOVE:**

- Professional Standards: Riley, Shannon Rose
- Program Planning Committee: Pruthi, Sarika
- University Library Board: Schultz-Krohn, Winifred
- Student Fairness Committee: Cohen, Edward
- Student Fairness Committee: Russo, Kyle
Leadership Priorities

- Collaborative
- Transparent Process
- Four Pillars of Student Success
- Looking ahead:
  - Multi-Year Budgeting
• Projecting permanent & temporary funds
  • Impacts of One-Time v. Ongoing Expenses

• Forecasting Assumptions:
  – Uncertainty over permanent state funding
  – Tuition Fees (Board of Trustees)
  – Enrollment growth
  – CSU/Campus Programs & Priorities
Multi-Year Budgeting

- **Low**
- ** Likely**
- **High**

<table>
<thead>
<tr>
<th>Year</th>
<th>Low</th>
<th>Likely</th>
<th>High</th>
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<tbody>
<tr>
<td>2016/17</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>2017/18</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>2018/19</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>2019/20</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>2020/21</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
</tbody>
</table>
• Four Pillars of Student Success - $3.4M
• Facilities & Deferred Maintenance - $2.1 M
• Campus Security Improvements - $2.3M
Not All Money is Green

Appropriated Sources
- General Fund
- Enrollment $ per FTE
- Compensation
- Employer Benefits Costs
  - Retirement Adjustments
  - Health Rate Changes
- Campus Deferred Maintenance
- CSU Capital/Deferred Maintenance
- Lottery
- Special Initiatives

Collected Sources
- Student Fees
  - Tuition
  - Mandatory Fees
  - Self-Support Program Fees
  - Fees-For-Service
- Federal Financial Aid
- Reimbursements
- Revenue Generating Activities
- Auxiliary Organizations

Green: Operating Budget
Purple: Generally Restricted
Red: Restricted Funding
• Revenue Sources
  – State General Fund Appropriation
  – Student Fees
• Functions
  – Primary Support for Instructional Mission
• Highlights / Accomplishments
  – Student Success Initiatives
  – Compensation Programs

Operating Fund - $334 Million
FY 16/17 Budget
Breakdown of Op. Fund Expenses
FY16/17 Budget

- Salaries: 52%
- Benefits: 25%
- Utilities: 3%
- Financial Aid: 13%
- Operating Expenses & Equipment: 7%
- CSU Funded Infrastructure - $1.6M
  - Utilities Infrastructure
  - State Funded Deferred Maintenance - $2.1M
  - Campus-wide Elevator Modernization
- Campus Deferred Maintenance - $2.1M
  - Engineering Bldg, Biz Tower Roof, South Campus, etc.
- Major Capital Projects
  - Student Recreation & Aquatic Center - $130M
  - Interdisciplinary Science Building - $85M
  - DMH
• Revenue Sources
  – Operating Funds, SSETF-IRA, Ticket sales, conference distribution, game guarantees, NCAA distribution and development
• Functions
  – Supports operating and travel costs for all sports
  – Grants in Aid for student-athletes
• Highlights / accomplishments
  – Build Golf practice facility on South Campus
  – Establish on the University’s tradition of academic & Athletic excellence
  – Recruit and retain top athletes by providing financial incentives through scholarship
<table>
<thead>
<tr>
<th></th>
<th>2014/15</th>
<th></th>
<th></th>
<th>2015/16</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Budget</td>
<td>Actual</td>
<td>Variance</td>
<td>Budget</td>
<td>Actual</td>
<td>Variance</td>
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<tr>
<td>Operating Fund</td>
<td>$ 7,001</td>
<td>$ 7,375</td>
<td>$(374)</td>
<td>$ 8,093</td>
<td>$ 8,091</td>
<td>$ 2</td>
</tr>
<tr>
<td>SSETF - IRA</td>
<td>7,673</td>
<td>7,673</td>
<td>-</td>
<td>8,325</td>
<td>8,322</td>
<td>3</td>
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<tr>
<td>Self Suppt.</td>
<td>7,607</td>
<td>7,607</td>
<td>-</td>
<td>8,903</td>
<td>8,903</td>
<td>-</td>
</tr>
<tr>
<td>TOTAL</td>
<td>$ 22,281</td>
<td>$ 22,655</td>
<td>$(374)</td>
<td>$ 25,321</td>
<td>$ 25,316</td>
<td>$ 5</td>
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</table>
## SJSU Athletics Spending

<table>
<thead>
<tr>
<th></th>
<th>San Jose State</th>
<th>San Diego State</th>
<th>Fresno State</th>
<th>Colorado State</th>
<th>Nevada-Las Vegas</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>FY 2014/15 Total Expenses/FTE</strong></td>
<td>$1,127</td>
<td>$1,794</td>
<td>$2,103</td>
<td>$1,009</td>
<td>$1,948</td>
</tr>
<tr>
<td><strong>FY 2014/15 full time equivalent (FTE) enrollment</strong></td>
<td>25,270</td>
<td>29,877</td>
<td>19,746</td>
<td>38,440</td>
<td>23,064</td>
</tr>
</tbody>
</table>

Footnote:
The above data is from -
[http://sports.usatoday.com/ncaa/finances/](http://sports.usatoday.com/ncaa/finances/)
For more information, visit:

http://www.sjsu.edu/finance/about_us/budget/budget_reports/

http://www.sjsu.edu/adminfinance/about/budget_central/

http://www.sjsu.opengov.com

SAN JOSÉ STATE UNIVERSITY powering SILICON VALLEY
• Revenue Sources
  – Operating Funds, SSETF-IRA, Ticket sales, conference distribution, game guarantees, NCAA distribution and development
• Functions
  – Supports operating and travel costs for all sports
  – Grants in Aid for student-athletes
• Highlights / accomplishments
  – Build Golf practice facility on South Campus
  – Establish on the University’s tradition of academic & Athletic excellence
  – Recruit and retain top athletes by providing financial incentives through scholarship
• Revenue Sources
  – Student Health & Health Facility Fees

• Functions
  – Used to support student health & mental health services
  – Health Facility development/debt service

• Highlights / Accomplishments
  – Student Health & Wellness Center
  – Increased Counseling & Preventative Health support

Student Health
$13.5 million FY16/17 Budget
• Revenue Sources
  – Housing Rent and Fees
  – Other Lodging and Conference Fees

• Functions
  – Used to support housing operations & programs

• Highlights / Accomplishments
  – 4,000 residents
  – Campus Village II
  – Living Learning Communities w/Faculty-in-Residence

University Housing Services
$45 million FY16/17 Budget
• Revenue Sources:
  • Tuition and fees from for-credit & noncredit programs

• Functions
  – Extended ed. operations and program/curriculum development

• Highlights / Accomplishments
  – New CIES space in the Student Union - Summer, 2017
  – Partnership with College of Science to build out part of new Interdisciplinary Science Building
• Revenue Source – federal and state grants and contracts, fees, investment income, and other revenues

• Functions
  – Most funding tied to grants or specific programs

• Highlights / Accomplishments
  – The Research Foundation also provides employment support to more than 1,800 individuals, including faculty, students, research affiliates, and staff.
• Revenue Source – Fees, program revenues, grants and contracts
  – Fee changes must be approved by student referendum
• Functions
  – Student fees support AS programs
    – Student Leadership
    – Child Care Center
    – Campus Life
    – Transportation
• Highlights / Accomplishments
  – A.S. has moved into the new Student Union
  – Print & Technology Center now in Student Union
Revenue Source – SSETF Fees
- Expenditures reviewed by CFAC & Approved by President

Functions
- Instructionally Related Activities
- Course Support
- Student Success

Highlights / Accomplishments
- Spartan Scholars Program
- SASS Programs (Task Forces)
- Academic Technology Improvements

SSETF
$19 million FY16/17 Budget
• Revenue Source – Gifts, pledges, investment income

• Functions
  – As a 501(c)(3) auxiliary organization, Tower Foundation directly manages all financial aspects of funds donated to San Jose State University
  – Tower Foundation Board approves the annual endowment distribution rate (3% for FY16/17)

• Highlights / Accomplishments
  – $125 million endowment comprised of 620+ individual funds
  – In 2015-16, $7+ million in new principal added to endowment
  – $45 million raised in 2015/16
• Revenue Source – Mandatory Student Union Fee
• Functions
  – Supports Student Union Operations
  – Capital Construction (SU Expansion & New SRAC)
• Highlights / Accomplishments
  – Student Union Renovation & Expansion Grand Opening
  – Ground Breaking on Student Recreation & Aquatic Center
  – Student Union Spaces booked for entire year
• Revenue sources
  – Parking permit sales and parking citation fines
• Functions
  – Parking operations and enforcement costs
  – Maintenance and repair to existing facilities
  – Alternative transportation program
• Highlights / Accomplishments
  – Parking permits available online
  – Provide Park & ride courtesy shuttle
  – Plans to update bus fleet

Parking Funds
$6 million FY16/17 Budget
• Revenue Sources
  – Food & Retail Services
• Highlights / accomplishments
  – Barnes & Noble Bookstore opening November 1
  – Service agreement with Spartan Athletics
  – Real Estate Services to SJSU faculty and staff
Office of the Provost
Division of Academic Affairs

2016-17 Budget Presentation to the Academic Senate
October 10, 2016
2016-17 Budget Planning Priorities

1. Advance Student Success
2. Improve Tenure Density
3. Continue support for RSCA and Professional Development
Four Pillars of Student Success

Academic Affairs and Student Affairs are partners in student success
## SJSU Graduation 2025 Initiative Goals

<table>
<thead>
<tr>
<th>Group</th>
<th>Original Goals</th>
<th>Projection for Current Cohorts</th>
<th>Revised Goals</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frosh 4-yr</td>
<td>17%</td>
<td>12%</td>
<td>35%</td>
</tr>
<tr>
<td>Frosh 6-yr</td>
<td>57%</td>
<td>61%</td>
<td>71%</td>
</tr>
<tr>
<td>Transfer 2-yr</td>
<td>27%</td>
<td>21%</td>
<td>36%</td>
</tr>
<tr>
<td>Transfer 4-yr</td>
<td>73%</td>
<td>72%</td>
<td>80%</td>
</tr>
<tr>
<td>Pell Gap</td>
<td>5 points</td>
<td>1 point</td>
<td>0 points</td>
</tr>
<tr>
<td>URM Gap</td>
<td>6 points</td>
<td>11 points</td>
<td>0 points</td>
</tr>
</tbody>
</table>
California Promise (SB 412)

“A more concerted, statewide effort to create pathways to **four-year graduation** is needed at the California State University”

- 8 campuses for Frosh, 20 campuses for Transfers
- Students must complete at least 30 units per year and maintain GPA standard
- Campus provides: priority registration, academic advisement and progress monitoring
Four Pillars Support: $5.3M

- Clearing Bottlenecks (enrollment $$) $2,795K
- 20 new staff advisors $1,153K
  - Advisor/student ratio from 1:1,848 to 1:860
- Student Data Warehouse (Koret Grant) $600K
- Admission to Graduation Initiative $356K
- Writing Support $217K
  - Writing Fellows and additional sections for international students
- Dream Center Establishment ($300K total) $150K
  - Support Center for undocumented students
## Distribution of Bottleneck Funds

<table>
<thead>
<tr>
<th>College</th>
<th>Funded Sections</th>
<th>Allocation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Applied Sciences &amp; Arts</td>
<td>29</td>
<td>$145,216</td>
</tr>
<tr>
<td>Lucas College of Business</td>
<td>33</td>
<td>188,660</td>
</tr>
<tr>
<td>Lurie College of Education</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Davidson College of Engineering</td>
<td>71</td>
<td>276,341</td>
</tr>
<tr>
<td>Humanities &amp; the Arts</td>
<td>68</td>
<td>364,181</td>
</tr>
<tr>
<td>Science</td>
<td>61</td>
<td>308,256</td>
</tr>
<tr>
<td>Social Sciences</td>
<td>91</td>
<td>500,429</td>
</tr>
<tr>
<td><strong>Total Allocated to Colleges</strong></td>
<td><strong>353</strong></td>
<td><strong>$1,783,083</strong></td>
</tr>
</tbody>
</table>

October 10, 2016  
Academic Affairs Division Budget Briefing
Tenure Density

• More than 125 new tenure-track faculty hired in past two years; 64 more recruitments underway

• Reaching 60% requires hiring 75 faculty per year for the next 7 years
RSCA and Professional Development

• Goal is to maintain steady funding
• $584K from division carry forward added to other RSCA funds to make a $1M pool
• $100K pool for staff professional development
Other Division Priorities: $609K

- Classroom Improvements $400K
- Workflow Automation ("paperless") $134K
  - Faculty Onboarding
  - Academic Scheduling
- SOTES System Replacement $75K
Campus IT Projects: $600K

• Imaging System Replacement $350K
• Web Portal $250K
Summary of Fund Sources
(All one-time funds)

- Enrollment Funding (bottlenecks) $2,795K
- University Allocations 2,092K
- Academic Affairs Carry Forward 1,677K
- Koret Foundation Gift 600K
- Total $7,164K
## Enrollment Funding - $7.6M

<table>
<thead>
<tr>
<th></th>
<th>Resident</th>
<th>Non-resident</th>
<th>Total</th>
<th>New Funding</th>
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</thead>
<tbody>
<tr>
<td>2015-16 Target (Base)</td>
<td>22,001</td>
<td>2,400</td>
<td>24,401</td>
<td>--</td>
</tr>
<tr>
<td>Target Increase (Base)</td>
<td>410</td>
<td>250</td>
<td>660</td>
<td>$3,366,000</td>
</tr>
<tr>
<td>Goal FTES (One-time)*</td>
<td>1,075</td>
<td>275</td>
<td>1,350</td>
<td>$4,197,500</td>
</tr>
<tr>
<td>2016-17 Enrollment Goals &amp; New Funding</td>
<td>23,486</td>
<td>2,925</td>
<td>26,411</td>
<td>$7,563,500</td>
</tr>
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</table>

*Includes the $2.795M for clearing course bottlenecks*
## Enrollment Funding Distribution

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>College FTES Allocations per Budget Model</td>
<td>$4.1M</td>
</tr>
<tr>
<td>Clearing Bottlenecks (353 additional sections)</td>
<td>$1.8M</td>
</tr>
<tr>
<td>Reserve for Bottlenecks/Enrollment</td>
<td>$1.0M</td>
</tr>
<tr>
<td>College Staffing/OE&amp;E/Course Support</td>
<td>$0.5M</td>
</tr>
<tr>
<td>Academic Support Units Staffing/OE&amp;E</td>
<td>$0.2M</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$7.6M</strong></td>
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</table>
## Headcount Majors by College

<table>
<thead>
<tr>
<th>College</th>
<th>2016-17</th>
<th>2015-16</th>
<th>Change</th>
</tr>
</thead>
<tbody>
<tr>
<td>Applied Sciences &amp; Arts</td>
<td>5,093</td>
<td>4,877</td>
<td>216</td>
</tr>
<tr>
<td>Lucas College of Business</td>
<td>4,860</td>
<td>4,917</td>
<td>-57</td>
</tr>
<tr>
<td>Lurie College of Education</td>
<td>1,833</td>
<td>1,686</td>
<td>147</td>
</tr>
<tr>
<td>Davidson College of Engineering</td>
<td>7,167</td>
<td>7,096</td>
<td>71</td>
</tr>
<tr>
<td>Humanities &amp; the Arts</td>
<td>3,634</td>
<td>3,599</td>
<td>35</td>
</tr>
<tr>
<td>Science</td>
<td>2,652</td>
<td>2,551</td>
<td>101</td>
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<tr>
<td>Social Sciences</td>
<td>4,650</td>
<td>4,355</td>
<td>295</td>
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<tr>
<td>Undeclared</td>
<td>1,794</td>
<td>2,107</td>
<td>-314</td>
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<tr>
<td><strong>Total</strong></td>
<td><strong>31,680</strong></td>
<td><strong>31,186</strong></td>
<td><strong>495</strong></td>
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</table>
# College FTES Distribution

<table>
<thead>
<tr>
<th>College</th>
<th>2016-17</th>
<th>2015-16</th>
<th>Change</th>
</tr>
</thead>
<tbody>
<tr>
<td>Applied Sciences &amp; Arts</td>
<td>3,803</td>
<td>3,801</td>
<td>2</td>
</tr>
<tr>
<td>Lucas College of Business</td>
<td>2,865</td>
<td>2,955</td>
<td>(90)</td>
</tr>
<tr>
<td>Lurie College of Education</td>
<td>1,517</td>
<td>1,389</td>
<td>128</td>
</tr>
<tr>
<td>Davidson College of Engineering</td>
<td>3,815</td>
<td>3,634</td>
<td>181</td>
</tr>
<tr>
<td>Humanities &amp; the Arts</td>
<td>4,707</td>
<td>4,768</td>
<td>(61)</td>
</tr>
<tr>
<td>Science</td>
<td>4,291</td>
<td>4,293</td>
<td>(2)</td>
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<tr>
<td>Social Sciences</td>
<td>4,695</td>
<td>4,703</td>
<td>(8)</td>
</tr>
<tr>
<td>Other</td>
<td>57</td>
<td>69</td>
<td>(12)</td>
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<tr>
<td><strong>Totals</strong></td>
<td>25,750</td>
<td>25,612</td>
<td>138</td>
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</table>
Budget Model

College Enrollment Funding is Based on FTES Type & Residency

<table>
<thead>
<tr>
<th>Residency</th>
<th>Target FTES Rate</th>
<th>Surplus FTES Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Resident</td>
<td>MCI</td>
<td>$2,600</td>
</tr>
<tr>
<td>Non-resident</td>
<td>MCI</td>
<td>MCI</td>
</tr>
</tbody>
</table>

Marginal Cost of Instruction (MCI) Rates

- Applied Sciences & Arts: $3,657
- Lucas College of Business: $3,366
- Lurie College of Education: $3,489
- Davidson College of Engineering: $3,527
- Humanities & the Arts: $3,157
- Science: $3,325
- Social Sciences: $2,710

College Resource Allocations, Appendix

October 10, 2016
Academic Affairs Division Budget Briefing
Net Budget Impact of FTES Changes

<table>
<thead>
<tr>
<th>College</th>
<th>2016-17</th>
<th>2015-16</th>
<th>Change</th>
<th>$$ Impact</th>
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</thead>
<tbody>
<tr>
<td>Applied Sciences &amp; Arts</td>
<td>3,803</td>
<td>3,801</td>
<td>2</td>
<td>$15,770</td>
</tr>
<tr>
<td>Lucas College of Business</td>
<td>2,865</td>
<td>2,955</td>
<td>(90)</td>
<td>(198,764)</td>
</tr>
<tr>
<td>Lurie College of Education</td>
<td>1,517</td>
<td>1,389</td>
<td>128</td>
<td>391,474</td>
</tr>
<tr>
<td>Davidson College of Engineering</td>
<td>3,815</td>
<td>3,634</td>
<td>181</td>
<td>605,942</td>
</tr>
<tr>
<td>Humanities &amp; the Arts</td>
<td>4,707</td>
<td>4,768</td>
<td>(61)</td>
<td>(140,776)</td>
</tr>
<tr>
<td>Science</td>
<td>4,291</td>
<td>4,293</td>
<td>(2)</td>
<td>13,650</td>
</tr>
<tr>
<td>Social Sciences</td>
<td>4,695</td>
<td>4,703</td>
<td>(8)</td>
<td>(16,180)</td>
</tr>
<tr>
<td>Other</td>
<td>57</td>
<td>69</td>
<td>(12)</td>
<td>-</td>
</tr>
<tr>
<td><strong>Totals</strong></td>
<td><strong>25,750</strong></td>
<td><strong>25,612</strong></td>
<td><strong>138</strong></td>
<td><strong>$671,116</strong></td>
</tr>
</tbody>
</table>
No Limits Enrollment Plan

• In effect for two years (2016-17 and 2017-18)
• No change in headcount students
• No college FTES caps
• Clear bottlenecks (more sections)
• Undergraduate average unit load (AUL) should increase
  – Goal: move AUL from 12.4 to 13.1
4-Yr Graduation Rates & Unit Loads

SJSU – 9.1%

Monterey Bay – 21.4%

2009 cohorts
AUL Results at Fall Census

Undergraduate Average Unit Load (AUL)

<table>
<thead>
<tr>
<th>Week</th>
<th>Fall 2014</th>
<th>Fall 2015</th>
<th>Fall 2016</th>
<th>Goal</th>
</tr>
</thead>
<tbody>
<tr>
<td>Week 1</td>
<td>11.45</td>
<td>11.63</td>
<td>11.81</td>
<td>13.10</td>
</tr>
<tr>
<td>Week 2</td>
<td>11.76</td>
<td>11.78</td>
<td>11.88</td>
<td>13.10</td>
</tr>
<tr>
<td>Week 3</td>
<td>11.86</td>
<td>11.81</td>
<td>11.90</td>
<td>13.10</td>
</tr>
<tr>
<td>Week 4</td>
<td>11.91</td>
<td>11.86</td>
<td>11.91</td>
<td>13.10</td>
</tr>
<tr>
<td>Week 5</td>
<td>11.91</td>
<td>11.91</td>
<td>11.91</td>
<td>13.10</td>
</tr>
<tr>
<td>Week 6</td>
<td>11.92</td>
<td>11.91</td>
<td>11.96</td>
<td>13.10</td>
</tr>
<tr>
<td>Week 7</td>
<td>11.97</td>
<td>12.03</td>
<td>12.00</td>
<td>13.10</td>
</tr>
<tr>
<td>Week 8</td>
<td>11.93</td>
<td>12.03</td>
<td>12.06</td>
<td>13.10</td>
</tr>
<tr>
<td>Week 9</td>
<td>11.95</td>
<td>12.00</td>
<td>12.15</td>
<td>13.10</td>
</tr>
<tr>
<td>Week 10</td>
<td>12.17</td>
<td>12.00</td>
<td>12.17</td>
<td>13.10</td>
</tr>
<tr>
<td>Reg Closed</td>
<td>12.19</td>
<td>12.15</td>
<td>12.33</td>
<td>13.10</td>
</tr>
<tr>
<td>Last Drop</td>
<td>12.19</td>
<td>12.15</td>
<td>12.33</td>
<td>13.10</td>
</tr>
<tr>
<td>Last Add</td>
<td>12.31</td>
<td>12.30</td>
<td>12.38</td>
<td>13.10</td>
</tr>
<tr>
<td>EC Prelim Census</td>
<td>12.34</td>
<td>12.34</td>
<td>12.56</td>
<td>13.10</td>
</tr>
</tbody>
</table>

Goal

10.50 11.00 11.50 12.00 12.50 13.00 13.50

October 10, 2016  Academic Affairs Division Budget Briefing
AUL by Class Level

<table>
<thead>
<tr>
<th>Group</th>
<th>Fall 2015</th>
<th>Fall 2016</th>
</tr>
</thead>
<tbody>
<tr>
<td>New Frosh</td>
<td>13.1</td>
<td>13.6</td>
</tr>
<tr>
<td>New Transfers</td>
<td>11.3</td>
<td>11.8</td>
</tr>
<tr>
<td>Continuing Undergrads</td>
<td>12.6</td>
<td>12.8</td>
</tr>
<tr>
<td>All Undergrads</td>
<td>12.4</td>
<td>12.7</td>
</tr>
</tbody>
</table>

The greatest opportunity for change is with entering students. Undergraduate AUL should rise steadily over the next four years as a result of our efforts.
Base Operating Fund Budget Changes

15% Increase over 2015-16 ($18.6M)

<table>
<thead>
<tr>
<th>College/Unit</th>
<th>2015-16 Base Budget</th>
<th>Compensation Adjustments</th>
<th>Enrollment Funding</th>
<th>Staff/OE&amp;E Support</th>
<th>Op Fund Course Support</th>
<th>Other Adjustments</th>
<th>2016-17 Base Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td>Applied Sciences &amp; Arts</td>
<td>$17,228,140</td>
<td>$1,161,482</td>
<td>-</td>
<td>$59,466</td>
<td>$8,600</td>
<td></td>
<td>$18,457,688</td>
</tr>
<tr>
<td>Lucas College of Business</td>
<td>11,982,866</td>
<td>838,591</td>
<td>168,300</td>
<td>28,537</td>
<td>22,600</td>
<td></td>
<td>13,040,894</td>
</tr>
<tr>
<td>Lurie College of Education</td>
<td>8,532,018</td>
<td>489,343</td>
<td>233,763</td>
<td>36,323</td>
<td>100</td>
<td>379,536</td>
<td>9,671,083</td>
</tr>
<tr>
<td>Davidson College of Engineering</td>
<td>16,041,506</td>
<td>922,603</td>
<td>846,480</td>
<td>69,262</td>
<td>9,900</td>
<td></td>
<td>17,889,751</td>
</tr>
<tr>
<td>Humanities &amp; Arts</td>
<td>19,474,109</td>
<td>1,187,529</td>
<td>-</td>
<td>77,072</td>
<td>19,300</td>
<td>10,000</td>
<td>20,768,010</td>
</tr>
<tr>
<td>Science</td>
<td>20,024,928</td>
<td>1,103,472</td>
<td>142,975</td>
<td>129,932</td>
<td>14,300</td>
<td></td>
<td>21,145,607</td>
</tr>
<tr>
<td>Social Sciences</td>
<td>14,477,058</td>
<td>1,088,898</td>
<td>-</td>
<td>31,632</td>
<td>200</td>
<td></td>
<td>15,597,788</td>
</tr>
<tr>
<td><strong>College Subtotal</strong></td>
<td><strong>107,760,625</strong></td>
<td><strong>6,791,918</strong></td>
<td><strong>1,391,518</strong></td>
<td><strong>432,224</strong></td>
<td><strong>75,000</strong></td>
<td><strong>389,536</strong></td>
<td><strong>116,840,821</strong></td>
</tr>
<tr>
<td>Academic Support Units</td>
<td>14,483,544</td>
<td>494,189</td>
<td>-</td>
<td>57,757</td>
<td>-</td>
<td>7,230,686</td>
<td>22,266,176</td>
</tr>
<tr>
<td>Division (annual allocations)</td>
<td>2,847,650</td>
<td>84,535</td>
<td>1,974,533</td>
<td>(489,981)</td>
<td>(75,000)</td>
<td>268,788</td>
<td>4,610,525</td>
</tr>
<tr>
<td><strong>Totals</strong></td>
<td><strong>$125,091,819</strong></td>
<td><strong>$7,370,642</strong></td>
<td><strong>$3,366,051</strong></td>
<td>-</td>
<td>-</td>
<td><strong>$7,889,010</strong></td>
<td><strong>$143,717,522</strong></td>
</tr>
</tbody>
</table>

Other Adjustments includes organizational changes (addition of ITS, removal of EOP) and funding for the Educational Doctorate program. See the 2016-17 AAD Budget Plan for details.
For more information:

Marna Genes, AVP-Academic Budgets & Planning

www.sjsu.edu/provost/budget

Links:
Annual Budget Plans
Annual College Resource Allocations memos
ICLM Explained