Modulation of nitrogen vacancy charge state and fluorescence in nanodiamonds using electrochemical potential

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The negatively charged nitrogen vacancy (NV−) center in diamond has attracted strong interest for a wide range of sensing and quantum information processing applications. To this end, recent work has focused on controlling the NV charge state, whose stability strongly depends on its electrostatic environment. Here, we demonstrate that the charge state and fluorescence dynamics of single NV centers in nanodiamonds with different surface terminations can be controlled by an externally applied potential difference in an electrochemical cell. The voltage dependence of the NV charge state can be used to stabilize the NV− state for spin-based sensing protocols and provides a method of charge state-dependent fluorescence sensing of electrochemical potentials. We detect clear NV fluorescence modulation for voltage changes down to 100 mV, with a single NV and down to 20 mV with multiple NV centers in a wide-field imaging mode. These results suggest that NV centers in nanodiamonds could enable parallel optical detection of biologically relevant electrochemical potentials.

n-by-n nitrogen vacancy center | nanodiamond | fluorescence microscopy | voltage sensing | voltage indicator

The nitrogen vacancy (NV) center is a fluorescent point defect in diamond formed by a substitutional nitrogen atom adjacent to a carbon vacancy (Fig. 1A). The negative charge state (NV−) is optically addressable and has a long-lived electronic spin state suitable for quantum sensing of local electric and magnetic fields (1–4). However, under constant laser illumination, the NV center can stochastically switch between the negatively charged state and the neutral charge state, NV0 (5, 6). Because the NV0 state lacks the NV− state’s favorable spin properties, there has been efforts to engineer stable NV− centers in diamond devices (7). Studies have shown that the diamond surface termination strongly affects the charge state of NVs near the surface: hydrogen surface termination increases the fraction of NV0 over NV−, whereas oxygen surface termination has the opposite effect (8). This dependence is due to the differences in band bending that the two types of surface terminations induce in diamond under ambient conditions. As illustrated schematically in Fig. 1B, NV centers that are deep below the surface can be in the negative charge state as the ENV−−charge state transition level is below the Fermi level, EF. Hydrogen terminated diamond surfaces have a negative electron affinity (χ), which induces band bending near the surface as the Fermi level equilibrates with surface adsorbate states (µ) present in atmospheric conditions. Near the surface, this upward band bending shifts the ENV−−level above EF, converting the near-surface NV centers to NV0. Band bending does not occur to the same degree for strongly oxidized diamond surfaces as they exhibit a large positive electron affinity and near surface NV centers remain in the negative charge state (8). However, some oxygen surface termination moieties such as hydroxyl groups can result in either positive or negative electron affinities depending on the type of surface reconstruction and crystallographic orientation of the diamond surface, which can also induce upward band bending in the diamond and affect the NV charge state (Fig. 1B) (9–11). In addition to the neutral and negative charge states, the NV center has been observed in a nonfluorescent state attributed to the positively charged state NV+ (12–16). The large band bending at hydrogenated surfaces can shift the corresponding ENV+−transition level and convert NVs closest to the surface from the NV0 state to the nonfluorescent NV+ state (Fig. 1B).

Recently, studies on bulk diamonds demonstrated the ability to control the charge state of near-surface NVs. By changing the Fermi level of a hydrogen-terminated conductive surface in an electrochemical cell, it was possible to modify the band-bending and thus modulate the NV charge state (14). Alternatively, it is possible to change the NV charge state by applying a lateral electric field across NVs under an insulating, oxygen-terminated diamond surface (15). Diamond p-n diode structures have also been used to dynamically vary the charge state of NVs in the intrinsic region (17, 18). An important question is whether...
shows the experimental apparatus. An electrochemical cell consisting of an indium-tin-oxide (ITO)-coated coverslip is positioned on an inverted microscope for wide-field or scanning confocal imaging (Materials and Methods). The coverslips were coated with NDs with different surface termination. The NV centers were excited, and their fluorescence signal was collected through the ITO-coated coverslip using a high numerical aperture (NA = 1.4) 100x oil objective. A potentiostat was used to apply a potential difference ($\Psi_{\text{app}} = \Psi_w - \Psi_{\text{ref}}$) between the ITO working electrode ($\Psi_w$) and the Ag/AgCl reference electrode ($\Psi_{\text{ref}}$) immersed in an aqueous electrolyte solution. This applied potential drops across the electric-double layer formed at the ITO/electrolyte interface where the NDs are located. The applied potential changes the band bending inside the NDs and varies the energy separation between the Fermi level ($E_F$) and the NV charge state transition levels ($E_{\text{NV}+/0}$ and $E_{\text{NV}0/-}$). This energy variation can be strong enough to shift the $E_{\text{NV}+/0}$ below or above $E_F$ and cause switching of the NV charge state (SI Text).

We used this apparatus to study the voltage-dependent charge state and fluorescence dynamics of two types of NDs: hydroxylated NDs with primarily hydroxyl surface termination groups and hydrogenated NDs with primarily hydrogen surface termination groups with mean sizes of 18 and 12 nm, respectively (Materials and Methods and SI Text) (30).

Fig. 2A shows a wide-field fluorescence image of hydroxylated NDs on the ITO working electrode under 532-nm excitation. The image was acquired using a 650-nm long-pass (LP) filter to preferentially detect NV$^-$ fluorescence. We applied triangular voltage sweeps between +0.75 and −0.75 V (Fig. 2B, Upper) while acquiring wide-field images with 100-ms exposure on an electron multiplying charge coupled device (emCCD) camera. From this series of images, we extracted the photoluminescence (PL) time traces of each fluorescent spot (Materials and Methods). Fig. 2B shows the PL recordings of the three characteristic spots highlighted in Fig. 2A. Individual traces are shown in the panel on the left, and voltage-cycle averaged PL traces (solid lines) with their SD (shaded region) are plotted on the right. From the cycle-averaged PL, we calculated the maximum PL modulation ($\Delta F_{\text{max}}$) and SD ($F_{\text{std}}$) for each spot. From these measurements, we determined the fraction of NVs with significant modulation, defined as $\Delta F_{\text{max}}/F_{\text{std}} > 1.5$. Of ~4,300 NDs measured on multiple samples, 21% satisfied this metric of voltage-dependent PL modulation (Fig. 2C). The PL response is not uniform, but varies for different NDs. This nonuniform behavior is clear from the PL traces for the three sites shown in Fig. 2B: the PL of spots A and B increase at negative voltages, whereas the PL of spot C shows the opposite voltage dependence. To study this variation of PL modulation, we categorized the cycle-averaged PL into eight distinct types (types 1–8) shown on the left panel of Fig. 2D. The distribution of the subsets of NDs that exhibited voltage dependence is shown in Fig. 2D. Approximately 94% of detected spot PL modulation (types 1–3) were out of phase with respect to the applied potential and showed an increase in PL at negative potential differences, whereas 5% showed in-phase response (types 4–6). Some spots exhibited a PL change that was independent of the voltage polarity (types 7 and 8).

To investigate the role of the charge state in the PL modulation, we studied isolated NDs exhibiting single NV fluorescence, as determined by separate photon anti-bunching measurements showing $g^2(0) < 0.5$ (SI Text). The cycle-averaged PL response of such a single NV is shown in Fig. 3A. This NV center exhibited the most common type 2 PL modulation, with a PL increasing brightness and photostability of NV centers have motivated great interest in voltage imaging and biolabeling applications (25, 27–29). To this end, we show below that the NV charge state and fluorescence are sensitive to voltage variations as low as 20 mV.

Results and Discussion

Fig. 1C shows the experimental apparatus. An electrochemical cell consisting of an indium-tin-oxide (ITO)-coated coverslip is positioned on an inverted microscope for wide-field or scanning confocal imaging (Materials and Methods). The coverslips were coated with NDs with different surface termination. The NV centers were excited, and their fluorescence signal was collected through the ITO-coated coverslip using a high numerical aperture (NA = 1.4) 100x oil objective. A potentiostat was used to apply a potential difference ($\Psi_{\text{app}} = \Psi_w - \Psi_{\text{ref}}$) between the ITO working electrode ($\Psi_w$) and the Ag/AgCl reference electrode ($\Psi_{\text{ref}}$) immersed in an aqueous electrolyte solution. This applied potential drops across the electric-double layer formed at the ITO/electrolyte interface where the NDs are located. The applied potential changes the band bending inside the NDs and varies the energy separation between the Fermi level ($E_F$) and the NV charge state transition levels ($E_{\text{NV}+/0}$ and $E_{\text{NV}0/-}$). This energy variation can be strong enough to shift the $E_{\text{NV}+/0}$ below or above $E_F$ and cause switching of the NV charge state (SI Text).

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24% as the potential difference, $\Psi_{app}$, was lowered from 0 to $-0.75$ V. Fig. 3B shows the PL spectra for $\Psi_{app}$ equal to 0 (blue line) and $-0.75$ V (red line), acquired with the confocal setup (Materials and Methods). The spectra of single NVs typically reflect rapidly switching NV$^0$ and NV$^-$ charge states (5, 6). For $\Psi_{app}$ = 0 V, the NV's fluorescence spectrum exhibits dominant (75%) NV$^0$ emission with a 25% NV$^-$ contribution, as estimated from a fit (SI Text) to the NV$^-$ and NV$^0$ spectra shown in Fig. 1A. The NV$^-$ contribution increases to 45% at $-0.75$ V. The shaded region in Fig. 3B shows the difference between the two spectra above 650 nm. This difference corresponds to an increase of 31% above 650 nm, which approximately matches the 24% increase observed from the wide-field measurements (Fig. 3A). We conclude that for hydroxylated NDs, the voltage-dependent PL is primarily due to shifting of NV$^0$ and NV$^-$ charge state distributions.

The change in the NV charge state can be qualitatively described by band bending due to electric field across the ND. Even without any applied external bias, the potential difference between the ITO and the Ag/AgCl electrodes due to their work function differences leads to the formation of a built-in electric field at the ITO/electrolyte interface. For the NV center in the hydroxylated ND shown in Fig. 3, this built-in electric field results in the $E_{\text{electrolyte}}$ level being slightly below $E_F$ and the NV primarily in the neutral charge state. The applied potential difference changes the electric field across the ND. This variation induces further band bending that reduces the energy separation between $E_{\text{NV-}}$ and $E_F$ at the position of the NV center, resulting in an increased probability of the NV$^-$ to be in the negative charge state. The results shown in Figs. 2 and 3 indicate that the majority of modulating NVs, lowering the potential of the ITO electrode with respect to the reference electrode increases the NV$^-$ contribution (modulation types 1–3). We attribute this imbalance in the voltage dependence to the built-in potential difference at the ITO/electrolyte interface. The distribution within the modulation types 1–3 is likely to be due to variations in the NV’s location in the ND and the ND’s shape. The band bending at the NV’s exact location depends on its distance to the surface, resulting in a range of responses to the same applied potential difference.

To investigate the influence of surface termination on the voltage-dependent ND PL, we repeated the above described experiments using NDs with hydrogenated surfaces (Materials and Methods and SI Text). Consistent with previous results of NVs near hydrogen-terminated surfaces (8), the PL of these NDs show predominantly NV$^0$ emission due to the increase in the near-surface band bending. A 562-nm long-pass filter was used to detect changes in the NV$^0$ fluorescence. The results, summarized in Fig. 4, were analyzed in the same manner as for the hydroxylated NDs (Fig. 2). Fig. 4B shows the PL for the three representative fluorescent spots indicated in Fig. 4A as $\Psi_{app}$ is swept between $+0.5$ and $-0.5$ V. Remarkably, of the $\sim$1,200 distinct NDs measured across multiple samples, 89% showed an above-threshold PL
modulation (Fig. 4C): a much larger percentage than for the hydroxylated NDs. In addition, these NDs also show a larger voltage dependence with a normalized PL ($\Delta F_{\text{max}}/F_{\text{mean}}$) of up to 110% for a potential range of 0.75 to $-0.75\ V$ (SI Text). Moreover, the modulation characteristics (Fig. 4D) are more uniform (types 1–3) than for the hydroxylated NDs (Fig. 2D). This greater uniformity would be beneficial for sensing applications.

Fig. 5A shows the average PL change as a function of $\Psi_{\text{app}}$ for an isolated hydrogenated ND containing a single NV center, as confirmed again by anti-bunching measurements (SI Text). As $\Psi_{\text{app}}$ is increased, the NV PL drops, corresponding to modulation type 3 shown in Fig. 4. We also performed spectral measurements for an average $\Psi_{\text{app}}$ of 125 and 375 mV, shown in the red and blue lines in Fig. 5B. The fluorescence spectra only show NV$^0$ emission and negligible contribution from NV$^-$ for both bias voltages. Increasing the bias voltage decreases the NV$^0$ fluorescence without any changes in the NV$^-$. The observed decrease in NV$^0$ could be either due to an increase in the nonradiative decay rate of the NV center or due to the switching of the NV center from the fluorescent NV$^0$ to a nonfluorescent dark state that is commonly attributed to the NV$^-$ charge state (14–16). A change in nonradiative decay rate would result in a change of NV excited state lifetime. However, we did not observe a significant change in fluorescence lifetime due to $\Psi_{\text{app}}$ (SI Text). Therefore, we conclude that the PL variation is due to switching between the bright NV$^0$ and the dark NV$^-$ charge states with $\Psi_{\text{app}}$. Within the band bending picture, this indicates that applying a positive potential to the ITO increases band bending and pushes the $E_{\text{NV}^-}$ level further above $E_F$.

We attribute the significant increase in the number of NV centers that show voltage-dependent PL in hydrogenated NDs to two effects: the large band-bending induced by hydrogen surface termination and the conductive properties of hydrogenated diamond surface. The NV charge state is determined by the position of the charge state transition levels $E_{\text{NV}^-}$ and $E_{\text{NV}^0}$ with respect to $E_F$ inside the diamond. Hydrogen termination of the ND surfaces results in a stronger band bending compared with hydroxyl termination. The increased band bending accounts for the greater fraction of NV$^0$ centers in hydrogen terminated diamond (Fig. 1B). The increase in the number of modulating NV centers therefore suggests that the average energy difference between $E_{\text{NV}^-}$ and $E_F$ in hydroxylated NDs is larger than the average energy difference between $E_{\text{NV}^-}$ and $E_F$ in hydrogenated NDs. Thus, a larger external potential difference would be required to perturb the NV charge state in the hydroxylated NDs compared with hydrogenated NDs. Furthermore, unlike hydroxyl terminated diamond, hydrogen terminated diamond surfaces are conductive due to the formation of a surface hole accumulation layer (8). Consequently, charge transfer between the ITO electrode and hydrogenated NDs’ surface could occur. Such charge transfer to localized surface states would change the ND surface

![Figure 4](image-url)

**Fig. 4.** Wide-field fluorescence measurement results of hydrogenated NDs. (A) Typical wide-field fluorescence image of hydrogenated NDs on the ITO. (B) Fluorescence time traces of the different clusters of NDs indicated in A under repetitive triangular voltage sweep acquired with a 562 LP filter. (Right) Average fluorescence (solid lines) and its SD (shaded region) for the eight voltage cycles. (C) Distribution of the maximum PL change ($\Delta F_{\text{max}}$) normalized to the SD of PL ($F_{\text{max}}$) for each measured fluorescent spot. Of the ∼1,200 different NV NDs, 89% showed PL modulation larger than $1.5\ F_{\text{max}}$. (D) Statistical distribution of hydrogenated ND NV PL modulation due to the applied potential difference. PL modulation is categorized into eight types of voltage responses. Among the ones that exhibited voltage dependence, almost all (>98%) show increased PL at negative voltages and decreased PL at positive voltages, corresponding to modulation types 1–3.

![Figure 5](image-url)

**Fig. 5.** Voltage-dependent fluorescence of a single NV center in a hydrogenated ND. (A) Cycle-averaged mean PL response to applied potential difference, obtained from the wide-field data similar to Fig. 4B, for an isolated single NV center. (B) PL spectrum of the same NV center obtained by applying a 250-mV amplitude square-wave voltage with a DC bias of 125 and 375 mV, corresponding to an average $\Psi_{\text{app}}$ of 0.125 (blue) and 0.375 V (red), respectively. Both spectra show purely NV$^0$ fluorescence, with the difference between the two showing that the PL change is due to a decrease in NV$^0$ fluorescence.
potential and affect the near-surface band bending. In addition, charging of the ND can also alter the Fermi level in the ND. Both of these effects will strongly influence the energy difference between $E_{\text{NV}}^{+}$ and $E_{F}$, and thus NV's charge state, which could result in an increased percentage of NVs demonstrating voltage-dependent PL.

To investigate the possibility of charge transfer, we repeated the wide-field measurements in Figs. 2 and 4 on ITO electrodes with a 5-nm-thick coating of alumina ($\text{Al}_2\text{O}_3$) acting as a spacer between the ITO and the NDs (Materials and Methods and SI Text). For hydroxylated NDs, we observe a decrease, from 23% to 13%, in the number of NVs showing voltage-dependent PL, whereas for hydrogenated NDs, we observe a drastic decrease, from 89% to ~1% (SI Text). These findings suggest that there are two different mechanisms by which the applied potential difference can modulate the charge state of the NV. For the hydroxylated NDs, the persistence of PL modulation in the presence of the alumina spacer suggests that the charge state modulation results from band-bending induced by the electric field generated by the potential difference across the ND. A similar electric field-induced effect has previously been observed in NV centers under the insulating regions of an in-plane field effect diamond device (15). However, for the hydrogenated NDs, almost no modulation was observed when the conductive diamond surface was not in direct electrical contact with the ITO electrode. This lack of modulation indicates that for hydrogenated NDs, band bending induced by charge transfer to the ND surface is the dominant mechanism by which the applied potential difference modulates the NV charge state. Although electric field-induced band bending could also occur for hydrogenated NDs on the alumina spacer layer, our results suggest that screening of the electric fields by the conductive surface surrounding the NDs reduces this effect.

The sensitivity of the NV charge state and PL to external fields and potential changes could enable applications for sensing the local electrochemical environment, e.g., for neuronal voltage imaging. For hydroxylated NDs, the electric field due to the ~100-mV variation in the neuron cell membrane potential could induce changes to the NV's charge state and result in detectable PL modulation. One possible complication for this application is that the potential away from the cell membrane is rapidly screened due to the nanometer-scale Debye length in biological media. This challenge may be mitigated by using small (5–15 nm) NDs embedded inside the membrane. Our results indicate that the fluorescence of hydrogenated NDs is not directly sensitive to external electric fields but instead requires charge transfer to the ND surface to induce band bending, in agreement with previous studies on hydrogen terminated bulk diamond. Functionalizing the ND surface with charged molecules or polymers could provide a mechanism for such charge transfer. For example, it has been shown that electrical activity in cells can be detected by the use of voltage-dependent charge transfer from molecular wires to a fluorescent reporter (31, 32). Functionalizing hydrogenated NDs with similar molecular wires could provide the necessary charge movement and allow their use as voltage indicators. To gauge the expected performance of both hydroxylated and hydrogenated NDs, we tested their PL response to biologically relevant potential fluctuations at timescales that approach action potential in mammalian neurons using wide-field fluorescence imaging. Fig. 6A shows the PL intensity trace for two separate single NV centers in the hydroxylated NDs acquired with a camera exposure time of 100 ms. Both NVs exhibited clear PL modulation as a function of $\Psi_{\text{app}}$. We observed the strongest PL response when a bias voltage of ~400 mV was applied to the modulation signal (see SI Text for the statistical distribution of DC bias point at which each NV showed the highest PL response). We also performed similar experiments on hydrogenated NDs. Because hydrogenated NDs are hydrophobic, they formed agglomerates on the hydrophilic ITO surface. Therefore, diffraction limited spots of hydrogenated NDs typically contained multiple NDs and NV centers, improving the signal to noise ratio of the measurement. Consequently, we were able to observe a clear PL response from individual clusters for voltage pulses as short as 5 ms and amplitude variations as small as 100 mV with a 2-ms camera exposure time (Fig. 6B). Shorter voltage pulses could not be detected due to the large RC time constant (5–30 ms) of the large surface area electrochemical cells used in this study. The time traces shown in Fig. 6A and B are for individual fluorescent spots of NDs, with the green PL trace in Fig. 6A corresponding to the same single NV center as in Fig. 3. By integrating the signal of 12 distinct fluorescent spots across the image, 20-ms signals were detectable with a 33-ms exposure time (Fig. 6C).

Compared with optical voltage indicators that broadly stain cell membranes, sparse ND-based indicators could enable voltage recording from single subdiffraction limited sites and reduced background (33). In addition to the NV center, numerous other fluorescent defect centers in diamond and other semiconductor materials (e.g., SiC) have been investigated for quantum information and sensing applications (12, 34, 35). Such fluorescent defects can be stable in ultrasmall crystals: the SiV center has been observed in NDs as small as 1.6 nm in diameter (36). Many of these fluorescent defects are likely to also exhibit charge state and fluorescence modulation via the electrochemical environment.

In summary, we demonstrated the modulation of the charge state and fluorescence of NV centers in NDs in an electrochemical cell. The NV fluorescence response depends strongly on the ND surface termination, which affects both the underlying mechanism and the overall fraction of modulating NVs. For hydroxylated NDs, we observed shifting between the NV and NV$^-$ charge states. This charge state variation is attributed to changes in electric field induced band-bending inside the diamond in response to an applied potential difference. On the other hand, for hydrogenated NDs, we observe shifting of the NV charge state between NV and a nonfluorescent state. We attribute this switching mechanism to charge transfer between the NDs and the ITO

![Image](image.png)

**Fig. 6.** Detection of small voltage variations. (A) PL time traces of two isolated hydroxylated NDs to 100-mV voltage changes. (B) PL time trace of a hydrogenated ND cluster to 5-ms, 100-mV square voltage pulses. (C) PL time trace of multiple ND clusters to 20-mV voltage changes.
electrode. Our results suggest the utility of NV-NDs for fluorescence-based sensing of electrochemical potential changes. Because of the strong PL modulation, which can be as high as ΔF/IF_{mean}=110%, it is possible to observe electrochemical potential variations of 100 mV even with a single NV emitter. By collecting signal from several NVs, the detection of potential changes as low as 20 mV and a setup-limited temporal response down to 5 ms was achievable. This strong dependence of the NV charge state to the electrochemical environment promises an optical nanometer-scale sensing modality for the physical and life sciences.

Materials and Methods

Electrochemical Cell. ITO-coated coverslips (30–60 μg/cm²; SPI Supplies) were cleaned with acetone, isopropyl alcohol, and oxygen plasma. A cylindrical polypropylene tube was subsequently epoxied onto the ITO coverslip. This electrochemical cell contained ~750 μL electrolyte at room temperature. The surface of the nitrogen-vacancy center of 100 μM potassium chloride (KCl) with phosphate buffer (10 mM NaPO₄ and 1.8 mM KH₂PO₄) that stabilized the pH to 7.7. The NDS were deposited on the ITO coverslips from an aqueous solution or toluene and heated to remove the solvent. The NDSs were derived from high-pressure high-temperature (HPHT) diamond and were irradiated and annealed to induce NV centers. The mean sizes of the hydroxylated and hydrogenated diamonds are 18 ± 8 and 12 ± 5 nm, respectively [see SI Text for measurements of electron microscopy (TEM) analysis]. The surface termination moieties were confirmed by FTIR [SI Text]. The electrochemical potentials were applied using a potentiostat (CH Instruments; 630D) in a three-electrode setup consisting of the ITO working electrode, a platinum wire (CH Instruments) counter electrode, and a leak-free reference electrode (Harvard Apparatus). The alumina (Al₂O₃)–coated ITO working electrode was fabricated by deposition of 5 nm alumina with atomic layer deposition.

Experimental Setup. The experiments were performed on an inverted microscope (Zeiss Axiovert 200M) equipped with an emission oil objective (Zeiss Planapochromat 100× NA = 1.4). For wide-field fluorescence measurements, a 300-mW, 532-nm continuous wave (CW) laser was focused to the back aperture of the objective to illuminate an ~42-μm-diameter area on the electrochemical cell. The fluorescence signal from NV centers was collected with the same objective, filtered using either a 562- or 650-nm long-pass filter, and imaged on a single mode optical fiber connected to a single avalanche photodiode (APD) or spectrometer. Spectral measurements were performed by connecting the collection fiber to a grating spectrometer (SP2500; Princeton Instruments) equipped with a CCD camera (PIXIS 1024B; Princeton Instruments). Note that the fast oscillations observed in the spectra shown in Figs. 1A, 3B, and 5B are due to the etaloning effect on the CCD camera.

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