

**San José State University**  
**College of Science/Computer Science Department**  
**CS 149 & SE 149 – Operating Systems, Sec 1, Spring 2017**

**Course and Contact Information**

<b>Instructor:</b>	Dr. Kong Li
<b>Office Location:</b>	ENG 250
<b>Email:</b>	kong.li@sjsu.edu (Email subject starts with CS149-1 or SE149-1)
<b>Office Hours:</b>	Wed 1:30PM – 2:45PM or by appointment
<b>Class Days/Time:</b>	Mon and Wed 4:30PM – 5:45PM (1/26/2017 - 5/16/2017)
<b>Classroom:</b>	Duncan Hall 250
<b>Prerequisites:</b>	CS 146 or SE 146 (with a grade of "C-" or better). <b>Students who do not provide documentation of having satisfied the class prerequisite requirements by the second class meeting will be dropped from the class.</b>

**Faculty Web Page and MYSJSU Messaging**

Course materials such as syllabus, handouts, notes, assignment instructions, etc. can be found on [Canvas Learning Management System course login website](http://sjsu.instructure.com) at <http://sjsu.instructure.com>. **Each submission of any assignment (homework, report, etc.) is “self-contained” and should be made on Canvas.** You are responsible for regularly (i.e. every couple of days) checking with the messaging system (email, discussions, announcements, news) through Canvas and through MySJSU. Students are encouraged to use the Canvas discussion boards for collaboration.

- [Canvas information](http://www.sjsu.edu/at/ec/canvas/index.html) at <http://www.sjsu.edu/at/ec/canvas/index.html>
- Student can register for workshops entitled “[Getting started with Canvas](http://www.sjsu.edu/at/ec/aboutus/ecampusevents/index.html)” at <http://www.sjsu.edu/at/ec/aboutus/ecampusevents/index.html>
- If you are having problems logging on, please [submit a ticket](https://isupport.sjsu.edu) at <https://isupport.sjsu.edu>
- [Canvas student resources](http://www.sjsu.edu/at/ec/canvas/student_resources/index.html) at [http://www.sjsu.edu/at/ec/canvas/student\\_resources/index.html](http://www.sjsu.edu/at/ec/canvas/student_resources/index.html)
- [View instructor’s comment](http://guides.instructure.com/m/4212/l/54359-how-do-i-view-instructor-comments) at <http://guides.instructure.com/m/4212/l/54359-how-do-i-view-instructor-comments>

**Course Description**

Fundamentals: Contiguous and non-contiguous memory management; processor scheduling and interrupts; concurrent, mutually exclusive, synchronized and deadlocked processes; files. Substantial programming project required. Prerequisite: CS 146 or SE 146 (with a grade of "C-" or better). Computer Science, Applied and Computational Math or Software Engineering Majors only; or Instructor Consent.

Catalog Course Description is available at <http://info.sjsu.edu/web-dbgen/catalog/courses/CS149.html>

**Course Goals**

- To introduce students to the role of an operating system as a hardware resource manager, and where the OS fits into the software application layer

- To acquaint students with the need to perform memory management, and to explain to them the various memory management techniques and their tradeoffs
- To help students appreciate how the CPU itself is managed by the operating system
- To educate students about the computer deadlock problem, how deadlocks are not unique to the computer system, and attempted solutions to fix the deadlock problem
- To instruct students about processes, their creation, and the software race condition that can happen when multiple processes are run concurrently and perform IPC
- To ensure that students are familiar with the classic IPC problems and how to use semaphores in their software development process to avoid race conditions

### **Course Learning Outcomes (CLO)**

Upon successful completion of this course, students will be able to:

- CLO 1 Understand the role that the operating system software plays in the management of the various hardware subsystems of the computer system.
- CLO 2 Understand locality of memory reference and how it is used to perform effective memory hierarchy management.
- CLO 3 Understand the various mapping, replacement, and dynamic allocation algorithms for cache and virtual memory management.
- CLO 4 Understand the alternative CPU scheduling schemes, their tradeoffs, and their applications to other queue processing situations.
- CLO 5 Appreciate the difficult tradeoffs faced when attempting to deal with the resource deadlock problem and distinguish between the different deadlock prevention and avoidance schemes and understand why and how deadlocks can still happen today.
- CLO 6 Understand software race conditions, their origin and the problems they can cause, along with knowing how to apply semaphores in software design to solve the race condition problem.
- CLO 7 Understand the various issues associated with the operating system's role in performing I/O and file management.

### **BS in CS Program Outcomes (PO)**

- (a) An ability to apply knowledge of computing and mathematics to solve problems
- (b) An ability to analyze a problem, and identify and define the computing requirements appropriate to its solution
- (c) An ability to design, implement, and evaluate a computer-based system, process, component, or program to meet desired needs
- (i) An ability to use current techniques, skills, and tools necessary for computing practice
- (j) An ability to apply mathematical foundations, algorithmic principles, and computer science theory in the modeling and design of computer-based systems in a way that demonstrates comprehension of the tradeoffs involved in design choices
- (k) An ability to apply design and development principles in the construction of software systems of varying complexity

## Required Texts/Readings

### Textbook

- A. Silberschatz, P. Galvin, and G. Gagne, *Operating System Concepts*, 9/E. Wiley, December 2012. ISBN-13: 9781118063330, ISBN-10: 1118063333.  
<http://www.wiley.com/WileyCDA/WileyTitle/productCd-EHEP002013.html>  
<http://www.os-book.com/>  
[http://discover.sjlibrary.org/iii/encore\\_sjpl/record/C\\_\\_Rb4428826](http://discover.sjlibrary.org/iii/encore_sjpl/record/C__Rb4428826)

### Other Readings

- T. Anderson and M. Dahlin, *OPERATING SYSTEMS: Principles and Practice*, 2/E. Recursive Books, 2014. ISBN-13: 9780985673529, ISBN-10: 0985673524.  
<http://www.recursivebooks.com>
- A. Tanenbaum and H. Bos, *Modern Operating Systems*, 4/E. Pearson, 2015. ISBN-13: 9780133591620, ISBN-10: 013359162X.  
<http://www.pearsonhighered.com/educator/product/Modern-Operating-Systems-4E/9780133591620.page>

Additional reading material will be distributed to the class as appropriate.

## Course Requirements and Assignments

SJSU classes are designed such that in order to be successful, it is expected that students will spend a minimum of forty-five hours for each unit of credit (normally three hours per unit per week), including preparing for class, participating in course activities, completing assignments, and so on. More details about student workload can be found in [University Policy S12-3](http://www.sjsu.edu/senate/docs/S12-3.pdf) at <http://www.sjsu.edu/senate/docs/S12-3.pdf>.

**Homework:** Each homework is *individual*. Homeworks include both programming and non-programming questions. See separate document for homework assignment.

**Exam and quiz:** Midterm Exam, Final Exam, and quiz will be in the form of, but not limited to, short answer questions, design questions, programming questions, etc., and will be based on the individual assignments and course material. Close book; close notes; no cheat sheet.

NOTE that [University policy F69-24](http://www.sjsu.edu/senate/docs/F69-24.pdf) at <http://www.sjsu.edu/senate/docs/F69-24.pdf> states that “Students should attend all meetings of their classes, not only because they are responsible for material discussed therein, but because active participation is frequently essential to insure maximum benefit for all members of the class. Attendance per se shall not be used as a criterion for grading.”

## Grading Information

Except the final course grade which is posted on MySJSU, all other grades (assignments, projects, quizzes, exams) are posted on Canvas.

### Student Assessment

Homework Assignments and Quiz	30%
Midterm Exam	30%
Final Exam	40%

- The instructor reserves the right to change the percentages.

- The final grade of this class is *solely* based on *your* performance in *this* class.
- Failure to obtain 50% of homework grade will result in a failing grade in this class.
- The exam dates are final.

### Determination of Grade

Grade	Overall Score
A+	95-100
A	90-94.99
A-	85-89.99
B+	80-84.99
B	75-79.99
B-	70-74.99
C+	65-69.99
C	60-64.99
C-	55-59.99
D+	50-54.99
D	45-49.99
D-	40-44.99
F	0-39.99

### Late Penalty

Based on the clock of Canvas, assignments submitted after the deadline earns no credit.

### Makeup Exam

**NO makeup exams will be given** unless (1) you are pre-approved by the instructor in advance, and (2) you have documented compelling reasons (e.g., written medical excuse from doctor).

If you have situations that are out of your control, you need to speak to the instructor as early as possible.

Note that “All students have the right, within a reasonable time, to know their academic scores, to review their grade-dependent work, and to be provided with explanations for the determination of their course grades.” See [University Policy F13-1](http://www.sjsu.edu/senate/docs/F13-1.pdf) at <http://www.sjsu.edu/senate/docs/F13-1.pdf> for more details.

### Classroom Protocol

- Students are encouraged to ask questions in the class.
- Each student is required to engage in classroom activities, submit assignments and reports on time, *and* take exams and tests on time.
- **Web-browsing in class is not allowed. Cell Phones are to be turned off during lectures and tests. During exams if you receive a cell phone call or a message of any form, it will be assumed that you have completed your exam and no further work will be allowed.**
- **Audio/video recording, or taking pictures are not allowed.**
- Student causing disruption in the class will be asked to leave the class.

### Policy on Cheating

A student or students involved in a cheating incident involving any non-exam instrument (homework, report, or lab project) will receive an F on that instrument, and will be reported to the department and related school office. Whether the report will carry a recommendation for disciplinary action will be left to the instructor’s judgment.

A student or students involved in a cheating incident on any quick test, the midterm exam or the final exam will

receive an F in the course, and will be reported to the department and related school office with a recommendation for disciplinary action.

Please see the [University Academic Integrity Policy](http://www.sjsu.edu/senate/docs/F15-7.pdf) at <http://www.sjsu.edu/senate/docs/F15-7.pdf>.

### **University Policies**

Per University Policy S16-9, university-wide policy information relevant to all courses, such as academic integrity, accommodations, etc. will be available on Office of Graduate and Undergraduate Programs' [Syllabus Information web page](http://www.sjsu.edu/gup/syllabusinfo/) at <http://www.sjsu.edu/gup/syllabusinfo/>

# CS 149 & SE 149 Operating Systems, Spring 2017, Course Schedule

The schedule is tentative and subject to change with fair notice. *The final exam date is firm and cannot be changed.* Any changes will be announced in due time in class and on the course's web site. The students are obliged to consult the most updated and detailed version of the reading material and syllabus, which will be posted on the course's web site.

## Course Schedule

Week	Date	Topics	Textbook	HW
1	1/30	Course Logistics & Linux VM Environment		
1	2/1	Introduction	1	Prerequisite due
2	2/6	OS Structure	2	2/7 Last day to drop courses
2	2/8	OS Structure (cont'd)	2	
3	2/13	Processes	3	
3	2/15	Processes (cont'd)	3	
4	2/20	Processes (cont'd)	3	
4	2/22	Threads	4	
5	2/27	Threads (cont'd)	4	
5	3/1	Synchronization	5	HW1 due
6	3/6	Synchronization (cont'd)	5	
6	3/8	Synchronization (cont'd)	5	HW2 due
7	3/13	Scheduling	6	
7	3/15	Scheduling (cont'd)	6	HW3 due
8	3/20	HW1, HW2, HW3 Discussion, Review		
8	3/22	<b>MIDTERM EXAM (close book, close notes). Bring student ID</b>	1 ~ 5	
9	3/27	(no class – spring recess)		
9	3/29	(no class – spring recess)		
10	4/3	Midterm Exam Discussion		
10	4/5	Deadlocks	7	HW4 due
11	4/10	Deadlocks (cont'd)	7	
11	4/12	Deadlocks (cont'd)	7	
12	4/17	Memory	8	
12	4/19	Memory (cont'd)	8	
13	4/24	Virtual Memory	9	
13	4/26	Virtual Memory (cont'd)	9	
14	5/1	Mass storage	10	
14	5/3	Mass storage (cont'd)	10	HW5 due

Week	Date	Topics	Textbook	HW
15	5/8	Virtual Machine	16	
15	5/10	Virtual Machine (cont'd)	16	
16	5/15	HW4, HW5 Discussion		
16	5/17	(no class – last day of instruction 5/16)		
	5/23	<b><u>FINAL EXAM</u> Tue, May 23, 14:45 – 17:00 (close book, close notes). Bring student ID</b>	5 ~ 10, 16	

[http://www.sjsu.edu/hr/docs/payroll/info/holiday\\_calendar\\_2017.pdf](http://www.sjsu.edu/hr/docs/payroll/info/holiday_calendar_2017.pdf)

<http://info.sjsu.edu/static/catalog/final-exam-schedule-spring.html>