

## JS137 INTELLIGENCE Fall Semester 2004

**Instructor:** William Cleveland, Jr., JD

**Office:** Room MH529

**Office Hours:** Tuesdays/Thursdays 8:00 – 9:00am and 11:00am – 1:00pm

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**Class Meeting Days/Hours:**

Tuesdays and Thursdays, 3:00 – 4:15pm, Room SH413

**Course Description:**

This course covers the history, missions, organization and practices of international intelligence agencies, with special emphasis on the relationship of the intelligence community to the justice system. The historical backdrop will be the Cold War between the US/UK and the former Soviet Union. Current issues will center on the recent 9/11 Commission reports and the reports of various other committees on the performance of the FBI and CIA in collecting, analyzing and disseminating intelligence.

**Required Text:**

The Sword and the Shield: The Mitrokhin Archive and the Secret History of the KGB, by Christopher Andrew and Vasili Mitrokhin, published by Basic Books, 1999.

**Course Format:**

The primary mode of delivery of this course is lecture. Students should augment their lecture notes with outside sources, as indicated in lectures and in the text. There will be two written exams during the semester, and a final exam. There will also be a required research paper on a topic relevant to intelligence. Oral reports to the class will be assigned to students, and will be graded.

**Class Participation, Examinations and Grading:**

Students are responsible for keeping up with the assigned reading, and being prepared to discuss it in class. Students will be divided into teams to research and report orally to the class on current events or special interest topics that are relevant to intelligence. The instructor will assign brief (ten minutes in length) oral reports to teams on Tuesdays, one team at a time. Each team will have at least two weeks to prepare. All team members must participate to receive credit.

Examinations will cover material from the lectures, the assigned readings, and from the oral reports. In addition to the text readings, individual articles of special interest may be chosen from time to time for reading assignments.

The student's grade will be determined by the results of three exams, one paper, and class participation (oral reports), as follows:

1 <sup>st</sup> mid-term exam	20%
2d mid-term exam	20%
term paper	25%
class participation	05%
final exam	30%

### **Research Paper**

The student may choose the topic (subject to instructor approval), or select a topic from a list supplied by the instructor. A draft or detailed outline of the term paper must be submitted on the date indicated. The draft will not be graded, but failure to submit a draft will result in a 5-point deduction from the term paper grade. The draft may be submitted via email, but the final paper must be submitted in hard copy, using APA style in the citation of sources, 12 point font, one inch margins, and be not less than five, nor more than eight pages in length (not including any cover page, source pages or appendices). (See, for example, <[www2.sjsu.edu/orgs/pkp/resources.htm](http://www2.sjsu.edu/orgs/pkp/resources.htm)>. Then click on "APA Citation Style – Cornell University".) Sources for the paper must number at least five, with at least two of them being academic or professional sources (such as books and journal articles written by university academics or by authors with extensive experience in the field of intelligence or research into the intelligence issues of interest).

The research paper will be due November 9, and failure to meet the deadline will result in a deduction of 10 points for every class day it is late. ***Papers submitted after November 30 will not be accepted.***

### **Extra Credit Work**

After the second mid-term exam, extra credit work will be accepted, with points going to augment one of the two mid-term exam grades, whichever is lower. The work will be selected and assigned by the instructor. Extra credit points will, after being added to the lower of the two mid-term grades, allow for raising the course grade no more than one letter grade. For example, if a student has earned a C at the end of all course work, but extra credit points added to one mid-term exam would make the overall grade an A, the final course grade will be B. Further details will be disseminated at mid-semester.

### **Missed exams**

Missing an exam is a serious matter. Taking an exam after others have taken it may give one an undue advantage. If a student misses an exam, a make-up exam will be afforded. There will be a five-point deduction from the exam grade, unless the student has a medical doctor's note explaining the absence on the scheduled exam day, or documentation of the student's participation in a University-sponsored activity previously scheduled in conflict with the exam date.

Failure to complete any of the three exams or the paper will result in a score of "0" for that assignment, or an "I" for the course, at the instructor's discretion (depending upon the circumstances). Extra credit points cannot be used in lieu of one of the required four responsibilities (three exams, one paper).

*In accordance with University policy, any student caught cheating or plagiarizing will receive a score of "0" for that exam or paper, and, at minimum, will be reported to the Office of Academic Affairs with appropriate recommendations for disciplinary action.*

**Order of topics, reading assignments, and exam/paper due dates:**

- Introduction to the intelligence process, the US Intelligence Community, and counterintelligence functions.  
**Reading:** The Art of War, Sun Tzu, chapter 13 ("The Use of Spies"), online at <http://www.elas.ufl.edu/users/gthursby/taoism/suntext4.htm#SPIES>
- Introduction to the problem of defectors. (The story of Vasili Mitrokhin.)  
**Reading:** Andrew, Chapter 1, pp. 1-22.
- Origins of the KGB (the Cheka; the OGPU): the use of intelligence services to inflict terror on a population. Stalin's use and misuse of intelligence.  
**Reading:** Andrew, Chapter 2, pp. 23-29.
- Police powers vs. intelligence powers (contrasting US police and internal security powers vs. power of the Cheka, et al).  
**Reading:** Andrew, Ch. 2, pp. 30-36.  
Online: Executive Order 12333 (search for "E.O.12333" using Google or other search engine. Also read Sec. 203, US Patriot Act of 2001. See [www.epic.org/privacy/terrorism/hr3162.html](http://www.epic.org/privacy/terrorism/hr3162.html).

<b>October 12:</b>	<b>Research Paper outline or draft due</b>
<b>October 19:</b>	<b>Review for First Mid-term Exam</b>
<b>October 21:</b>	<b>First Mid-term Exam</b>

- The Great Illegals and the "Cambridge Five" (Penetrations of the adversary's intelligence services.)  
**Reading:** Andrew, Ch. 4, pp. 56-67
- The nuclear spies in and around the Manhattan Project; personnel security issues in US government.  
**Reading:** Andrew, Ch. 8, pp. 122-132; Ch. 10, pp. 163-165, 172-175
- VENONA; the use of electronic surveillance. Foreign Intelligence Surveillance Act (FISA). US Patriot Act of 2001. Issues of government surveillance in a free society.  
**Reading:** Andrew, Ch. 9, pp. 154-161; online reading re FISA and US Patriot Act.

<b>November 9:</b>	<b>Research Papers due</b>
<b>November 16:</b>	<b>Review for Second Mid-term Exam</b>

<b>November 18:            Second Mid-term Exam</b>
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- The Cold War, early years: Penetration by Soviets of US government in 1950s; The Cuban Missile Crisis; KGB defections and volunteers.

**Reading:** Andrew, Ch. 10, pp. 164-175, and Ch. 11, pp. 176-189

- COINTELPRO, the Anti-War Movement, the Church Committee, and resultant restrictions on the FBI. Domestic intelligence collection by law enforcement, before and after 9/11.

**Reading:** Online articles: (1) Attorney General Guidelines of 1976, [http://www.cicentre.com/Documents/DOC\\_Wannall\\_Undermining\\_Intel.htm](http://www.cicentre.com/Documents/DOC_Wannall_Undermining_Intel.htm); (2) AG Guidelines (“NSI Guidelines”), 2003, <http://www.fas.org/irp/agency/doj/fbi/nsi-fact.html>.

- The Cold War, later years: The Walker Family Spy Ring; SIGINT; Science and Technology (S&T) collection.

**Reading:** Andrew, Ch. 13, pp. 205-220, and Ch. 21, pp. 337-354

- Aldrich Ames, Robert Hanssen, et al: penetrations of the CIA and FBI, and the resultant changes in organization and practice within those agencies.

**Reading:** Online sources TBA.

- The 9/11 Commission recommendations for change within the US intelligence community.

**Reading:** The 9/11 Commission Report, pp. 407-419. (Read online in pdf format to find page numbers.)

<b>December 14: Final Exam at 2:45pm</b>
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