

JS137 INTELLIGENCE Fall Semester 2005

Instructor: William Cleveland, Jr., JD

Office: Room MH529

Office Hours: Tuesdays/Thursdays 10:30 – 11:30am and 1:30 – 2:30pm.

Office Telephone: no phone (do not leave messages on the listed number)

Email: alamedagroup@mindspring.com (Use email as primary contact medium.)

Class Meeting Days/Hours: Tuesdays and Thursdays, 9:00 – 10:15am, Room MH523

Course Description:

This course covers the history, missions, organization and practices of international intelligence agencies, with special emphasis on the relationship of the intelligence community to the justice system. The historical backdrop will be the Cold War between the former Soviet Union and the US/UK. Current issues will center on the recent 9/11 Commission reports and the reports of various other committees on the performance of the FBI and CIA in collecting, analyzing and disseminating intelligence.

Required Text:

The Sword and the Shield: The Mitrokhin Archive and the Secret History of the KGB, by Christopher Andrew and Vasili Mitrokhin, published by Basic Books, 1999.

Course Format:

The primary mode of delivery of this course is lecture. Students should augment their lecture notes with outside sources, as indicated in lectures and in the text.

Class Participation: Students are responsible for keeping up with the assigned reading, and being prepared to discuss it in class. Students will be divided into teams to research and report orally to the class on current events or special interest topics that are relevant to intelligence. Each team will have at least two weeks to prepare. All team members must participate to receive credit.

Quizzes and Exams: Examinations and quizzes will cover material from the lectures, the assigned readings, and from the oral reports. In addition to the text readings, individual articles of special interest may be chosen from time to time for reading assignments. The student's grade will be determined by the results of one mid-term exam, two quizzes, one paper, and class participation (oral reports), as follows:

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| mid-term exam | 25% | |
| quizzes (2) | 20% | 10% each |
| research paper | 15% | |
| class participation | 05% | |
| final exam | 35% | All grades are based on 100 points, then weighted according to the stated percentage, to form an overall course grade based on 100 points. A standard ten-point scale determines the letter grades. (Details of the grade scale will be provided in class.) |
| Total | 100% | |

A SCANTRON form (Form 882-E) will be needed for all quizzes and exams. A BLUE BOOK will be needed, as well, for the mid-term and final exams.

Research Paper

- The student may choose the topic (subject to instructor approval, confirmed by email), or select a topic from a list supplied by the instructor. The paper will include an abstract, a thesis statement, data, a conclusion (with evaluation of data in support of your thesis), and a separate references list.
- **Length:** 4 **full** pages, including abstract, **not** including cover page and references list. The paper must be **in hard copy, double-spaced, in 12 point font, with one-inch margins.** (Details on grading will be furnished in class.)
- The abstract is a capsule summary of the entire paper, and is to be single-spaced, running not more than 10 lines.
- References must number at least five, **two** of which must be **scholarly or expert professional** sources, and **none may be anonymous.**

Sources are to be cited within the text using the APA style citation method. The references list must also be in APA style. For APA style citations see internet APA sites (for example, <www2.sjsu.edu/orgs/pkp/resources.htm>. Then click on "APA Citation Style – Cornell University".)

- **Due: November 15.**

PENALTIES FOR LATE SUBMISSION: A 5 points penalty for each class day late will be assessed.

Papers submitted after November 29 will not be accepted.

In accordance with University policy, any student caught cheating or plagiarizing can be given a score of "0" for that exam or paper, and, at minimum, will be reported to the Office of Judicial Affairs with appropriate recommendations for disciplinary action. For the University policy, see http://sa.sjsu.edu/download/judicial_affairs/Academic_Integrity_Policy_S04-12.pdf

Extra Credit Work

For five (5) extra credit points added to your research paper grade, attend one of the seven events during the **2nd Annual Academic Integrity Week**, Monday through Thursday, September 19 – 22, and write a one-page summary of the event and what you learned from it. (This will not be graded; it is worth the full five points if you submit the write-up, typed or in hand, via hard-copy or email, so that it is received by the instructor **NLT midnight on September 29.**)

After the mid-term exam, additional extra credit work may be done to improve your grade on that exam only, up to 15 points. The work will be selected and assigned by the instructor, and will be graded for quality. Further details will be announced at mid-semester.

Missed exams and quizzes:

Missing an exam or quiz is a serious matter. Taking an exam after others have taken it may give one an undue advantage. If a student misses an exam or quiz, a make-up exam or quiz will be afforded. There will be a *five-point deduction* from the exam or quiz grade, *unless* the student has a medical doctor's note explaining the absence on the scheduled exam day, or documentation of the student's participation in a University-sponsored activity, or documentation of a serious family emergency.

Failure to complete any of the exams, quizzes or the paper will result in a score of "0" for that assignment, or an "I" for the course, at the instructor's discretion (depending upon the circumstances). Extra credit points cannot be used in lieu of any of the required assignments.

Order of topics, reading assignments, and exam/paper deadlines:

The order of topics and readings indicated below will be followed, so that the student may know how to keep ahead of lecture topics in the readings. The exact dates of lecture topics cannot be predetermined. Exams and quizzes will cover only what has been covered in lectures up to that date.

- Introduction to the intelligence process, the US Intelligence Community, and counterintelligence.

Reading: The Art of War, Sun Tzu, chapter 13 ("The Use of Spies"), online at <http://www.clas.ufl.edu/users/gthursby/taoism/suntext4.htm#SPIES>

- Introduction to the phenomenon of defection. (Vasili Mitrokhin.)

Reading: Andrew, Chapter 1, pp. 1-22.

- Origins of the KGB (the Cheka; the OGPU): the use of intelligence services to inflict terror on a population. Stalin's use and misuse of intelligence.

Reading: Andrew, Chapter 2, pp. 23-36.

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| September 15: Quiz #1 – (Scantron) |
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- Police powers vs. intelligence powers (contrasting US police and federal agencies' powers vs. power of Soviet security services). Foreign Intelligence Surveillance Act (FISA). USA Patriot Act of 2001. Issues of government surveillance in a free society.

Reading: Andrew, Ch. 9, pp. 154-161. Online: Executive Order 12333 (search for "E.O.12333" using Google or other search engine). Also read Sec. 203, USA Patriot Act of 2001. See www.epic.org/privacy/terrorism/hr3162.html.

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| September 29: Academic Integrity extra credit due |
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- The 9/11 Commission recommendations for change within the US intelligence community.

Reading: The 9/11 Commission Report, pp. 407-419. (If reading online, read in pdf format to find page numbers.)

October 13: Mid-term Exam – bring Blue Book and Scantron form

- The “Great Illegals” and the “Cambridge Five” (Penetrations of the adversary’s intelligence services.)

Reading: Andrew, Ch. 4, pp. 56-67

- The nuclear spies in and around the Manhattan Project; personnel security issues in US government agencies.

Reading: Andrew, Ch. 8, pp. 122-132; Ch. 10, pp. 163-165, 172-175

- VENONA, ENORMOZ and the CAMBRIDGE FIVE: a pivotal confluence of three major intelligence operations.

Reading: Andrew, Ch. 9, pp. 143-144; 154-161.

November 10: Quiz #2 (Scantron)

November 15: Research papers due

- The Cold War, early years: Penetration by Soviets of US government in 1940s and ‘50s; Oleg Penkovsky; The Cuban Missile Crisis; KGB defections and volunteers.

Reading: Andrew, Ch. 10, pp. 164-175, and Ch. 11, pp. 176-189

- COINTELPRO, the Anti-War Movement, the Church Committee, and resultant restrictions on the FBI.

Reading: Online articles:

(1) Attorney General Guidelines of 1976,

http://www.cicentre.com/Documents/DOC_Wannall_Undermining_Intel.htm;

(2) AG Guidelines (“NSI Guidelines”), 2003,

<http://www.fas.org/irp/agency/doj/fbi/nsi-fact.html>.

- The Cold War, later years: The Walker Family Spy Ring; SIGINT; Science and Technology (S&T) collection.

Reading: Andrew, Ch. 13, pp. 205-220, and Ch. 21, pp. 337-354

- Aldrich Ames, Robert Hanssen, et al: penetrations of the CIA and FBI, and the resultant changes in organization and practice within those agencies.

Reading: Online sources TBA. These cases will be the subjects of group oral reports.

**December 15: Final Exam at 7:15am in Room MH523
(Bring BLUE BOOK, SCANTRON form, and COFFEE!!)**