

JS137 INTELLIGENCE Spring Semester 2005

Instructor: William Cleveland, Jr., JD

Office: Room MH529

Office Hours: Tuesdays/Thursdays 9:30-10:30am and 3 – 5pm

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Class Meeting Days/Hours: Tuesdays and Thursdays, 10:30-11:45am, Room MH520

Course Description:

This course covers the history, missions, organization and practices of international intelligence agencies, with special emphasis on the relationship of the intelligence community to the justice system. The historical backdrop will be the Cold War between the former Soviet Union and the US/UK. Current issues will center on the recent 9/11 Commission reports and the reports of various other committees on the performance of the FBI and CIA in collecting, analyzing and disseminating intelligence.

Required Text:

The Sword and the Shield: The Mitrokhin Archive and the Secret History of the KGB, by Christopher Andrew and Vasili Mitrokhin, published by Basic Books, 1999.

Course Format:

The primary mode of delivery of this course is lecture. Students should augment their lecture notes with outside sources, as indicated in lectures and in the text. There will be one mid-term exam, two quizzes, and a final exam. There will also be a required research paper on a topic relevant to intelligence. Oral reports to the class will be assigned to student groups, and will be evaluated to satisfy the class participation grade.

Class Participation: Students are responsible for keeping up with the assigned reading, and being prepared to discuss it in class. Students will be divided into teams to research and report orally to the class on current events or special interest topics that are relevant to intelligence. Each team will have at least two weeks to prepare. All team members must participate to receive credit.

Quizzes and Exams: Examinations and quizzes will cover material from the lectures, the assigned readings, and from the oral reports. In addition to the text readings, individual articles of special interest may be chosen from time to time for reading assignments.

The student's grade will be determined by the results of one mid-term exam, two quizzes, one paper, and class participation (oral reports), as follows:

mid-term exam	20%	
quizzes (2)	20%	10% each
threat assessment paper	20%	Phase 1 = 10%; Phase 2 = 10%
class participation	05%	
final exam	35%	

A SCANTRON form (Form 882-E) will be needed for all quizzes and exams. A BLUE BOOK will be needed, as well, for the mid-term and final exams.

Research Paper

The student may choose the topic (subject to instructor approval), or select a topic from a list supplied by the instructor. The research assignment will be submitted in two installments as follows:

- **Due March 3: A one-page statement** of the topic, beginning with a one-sentence thesis statement, followed by a short summary of research data in support of the thesis, and, on a second page, a **references list** (in APA style). Sources need not be cited in the summary text, as this is similar to an abstract. (References must number at least five, two of which must be scholarly or expert professional sources, and none anonymous.)
- **Due April 7: Final paper**, including thesis statement, data, one-page conclusion, (with evaluation of data in light of your thesis), and references list. (Length: 4 full pages, not including cover page and references list.) The previously submitted one-page topic statement must be attached. The final paper must be in hard copy. (Details on grading will be furnished in class.)

The one-page topic statement, (with references list), and final version must be submitted on the dates indicated, or penalties of 5 points for each class day late will be assessed. Both must be in 12 point "Times" font, with one inch margins.

For APA style citations see internet APA sites (for example, <www2.sjsu.edu/orgs/plkp/resources.htm>. Then click on "APA Citation Style – Cornell University".)

Papers submitted after April 26 will not be accepted.

In accordance with University policy, any student caught cheating or plagiarizing can be given a score of "0" for that exam or paper, and, at minimum, will be reported to the Office of Academic Affairs with appropriate recommendations for disciplinary action.

Extra Credit Work

After the mid-term exam, extra credit work may be done to improve your grade on that exam only, up to 20 points. The work will be selected and assigned by the instructor, and will be graded for quality. Further details will be announced at mid-semester.

Missed exams and quizzes:

Missing an exam or quiz is a serious matter. Taking an exam after others have taken it may give one an undue advantage. If a student misses an exam or quiz, a make-up exam or quiz will be afforded. There will be a *five-point deduction* from the exam or quiz grade, *unless* the student has a medical doctor's note explaining the absence on the scheduled exam day, or documentation of the student's participation in a University-sponsored activity, or documentation of a serious family emergency.

Failure to complete any of the exams, quizzes or the paper will result in a score of "0" for that assignment, or an "I" for the course, at the instructor's discretion (depending upon the circumstances). Extra credit points cannot be used in lieu of any of the required assignments.

Order of topics, reading assignments, and exam/paper deadlines:

- Introduction to the intelligence process, the US Intelligence Community, and counterintelligence.

Reading: The Art of War, Sun Tzu, chapter 13 (“The Use of Spies”), online at <http://www.clas.ufl.edu/users/gthursby/taoism/suntext4.htm#SPIES>

- Introduction to the problem of defectors. (Vasili Mitrokhin, Oleg Penkovsky.)

Reading: Andrew, Chapter 1, pp. 1-22.

February 22: Quiz #1 – (Scantron)

- Origins of the KGB (the Cheka; the OGPU): the use of intelligence services to inflict terror on a population. Stalin’s use and misuse of intelligence.

Reading: Andrew, Chapter 2, pp. 23-36.

March 3: One-page research paper topic/summary and references list due

- Police powers vs. intelligence powers (contrasting US police and federal agencies’ powers vs. power of Soviet security services). Foreign Intelligence Surveillance Act (FISA). USA Patriot Act of 2001. Issues of government surveillance in a free society.

Reading: Andrew, Ch. 9, pp. 154-161. Online: Executive Order 12333 (search for “E.O.12333” using Google or other search engine). Also read Sec. 203, USA Patriot Act of 2001. See www.epic.org/privacy/terrorism/hr3162.html.

March 17: Mid-term Exam – bring Blue Book and Scantron form

- The “Great Illegals” and the “Cambridge Five” (Penetrations of the adversary’s intelligence services.)

Reading: Andrew, Ch. 4, pp. 56-67

- The nuclear spies in and around the Manhattan Project; personnel security issues in US government agencies.

Reading: Andrew, Ch. 8, pp. 122-132; Ch. 10, pp. 163-165, 172-175

- VENONA, ENORMOZ and the CAMBRIDGE FIVE: a pivotal confluence of three major intelligence operations.

Reading: Andrew, Ch. 9, pp. 143-144; 154-161.

April 7: Research papers due

- The Cold War, early years: Penetration by Soviets of US government in 1940s and '50s; The Cuban Missile Crisis; KGB defections and volunteers.

Reading: Andrew, Ch. 10, pp. 164-175, and Ch. 11, pp. 176-189

- COINTELPRO, the Anti-War Movement, the Church Committee, and resultant restrictions on the FBI.

Reading: Online articles:

(1) Attorney General Guidelines of 1976,

http://www.cicentre.com/Documents/DOC_Wannall_Undermining_Intel.htm;

(2) AG Guidelines ("NSI Guidelines"), 2003,

<http://www.fas.org/irp/agency/doj/fbi/nsi-fact.html>.

April 21: Quiz #2 (Scantron)

- The Cold War, later years: The Walker Family Spy Ring; SIGINT; Science and Technology (S&T) collection.

Reading: Andrew, Ch. 13, pp. 205-220, and Ch. 21, pp. 337-354

- Aldrich Ames, Robert Hanssen, et al: penetrations of the CIA and FBI, and the resultant changes in organization and practice within those agencies.

Reading: Online sources TBA.

- The 9/11 Commission recommendations for change within the US intelligence community.

Reading: The 9/11 Commission Report, pp. 407-419. (If reading online, read in pdf format to find page numbers.)

May 20: Final Exam at 9:45am in Room MH520 (Bring BLUE BOOK and SCANTRON form)
