

JS137 INTELLIGENCE Summer 2005

Instructor: William Cleveland, Jr., JD

Office: Room MH529

Office Hours: Tuesdays/Thursdays, 4:15pm to 5:15pm.

Office Telephone: no phone (do not leave messages on the listed number)

Email: alamedagroup@mindspring.com (Use email as primary contact medium.)

Class Meeting Days/Hours: Tuesdays/Thursdays, 1:00pm to 4:15pm, Room MH520

Course Description: This course covers the history, missions, organization and practices of international intelligence agencies, with special emphasis on the relationship of the intelligence community to the justice system. The historical backdrop will be the 20th century struggle between the former Soviet Union and the US/UK. Current issues will center on recent espionage cases, the 9/11 Commission reports and the reports of various other committees on the performance of the FBI and CIA in collecting, analyzing and disseminating intelligence.

Required Text:

The Sword and the Shield: The Mitrokhin Archive and the Secret History of the KGB, by Christopher Andrew and Vasili Mitrokhin, published by Basic Books, 1999.

Course Format:

The primary mode of delivery of this course is lecture. Students should augment their lecture notes with outside sources, as indicated in lectures and in the text. There will be one mid-term exam, two quizzes, and a final exam. There will also be a required research paper on a topic relevant to intelligence. Oral reports to the class will be assigned to student groups, and will be evaluated to satisfy the class participation grade.

Class Participation: Students are responsible for keeping up with the assigned reading, and being prepared to discuss it in class. Students will be divided into teams to research and report orally to the class on current events or special interest topics that are relevant to intelligence. All team members must participate to receive credit.

Quizzes and Exams: Examinations and quizzes will cover material from the lectures, the assigned readings, and from the oral reports. In addition to the text readings, individual articles of special interest may be chosen from time to time for reading assignments.

The student's grade will be determined as follows:

mid-term exam	25%	
quizzes (2)	20%	10% each
paper	15%	
class participation	05%	
final exam	35%	

A SCANTRON form (Form 882-E) will be needed for all quizzes and exams. A large size BLUE BOOK will be needed, as well, for the mid-term and final exams.

Research Paper Students will be assigned to a group and will be given a topic to research. Group members may collaborate on research, share sources and information, but must write their own papers individually. Each group will present the information on their topic to the class in an oral presentation.

Each student's paper must conform to the following standards and requirements:

Length: Exactly three full pages of text, plus a reference list page. (Cover pages are optional.)

Sources: Four (minimum), with at least one scholarly or professional source included.

No anonymous sources may be cited. (This includes web sites that do not provide authors' names with the information.)

Font: Times, 12 point.

Margins: One inch all around.

Spacing: Double-spaced.

Typeface: Regular. (Not bold, except for headings and subheadings.)

References List: Use APA style, and list references on a separate page from the text.

Source citations in text: Use APA style. Note: all information must be attributed to a source, unless it is common knowledge. Attribution is required whether you are quoting or paraphrasing.

Submit in hard copy: Email versions are not acceptable without prior arrangement.

The paper must be submitted on the date indicated, or penalties of 15 points for each class day late will be assessed.

For APA style citations see internet APA sites (for example, <www2.sjsu.edu/orgs/pkp/resources.htm>. Then click on "APA Citation Style – Cornell University".)

Papers submitted after July 28 will not be accepted.

In accordance with University policy, any student caught cheating or plagiarizing can be given a score of "0" for that exam or paper, and, at minimum, will be reported to the Office of Academic Affairs with appropriate recommendations for disciplinary action.

Extra Credit Work

After the mid-term exam, extra credit work may be done to improve your grade, on that exam only, up to 20 points. The work will be selected and assigned by the instructor, and will be graded for quality. Further details will be announced after the mid-term exam.

Missed exams and quizzes:

Missing an exam or quiz is a serious matter. Taking an exam after others have taken it may give one an undue advantage. If a student misses an exam or quiz, a make-up exam or quiz will be afforded. There will be a *five-point deduction* from the exam or quiz grade, *unless* the student has a medical doctor's note explaining the absence on the scheduled exam day, or documentation of the student's participation in a University-sponsored activity, or documentation of a serious family emergency.

Failure to complete any of the exams, quizzes or the paper will result in a score of "0" for that assignment, or an "I" for the course, at the instructor's discretion (depending upon the circumstances). Extra credit points cannot be used in lieu of any of the required assignments.

Order of topics, reading assignments, and exam/paper deadlines:

- Introduction to **the intelligence process**, the US Intelligence Community, and counterintelligence.

Reading: The Art of War, Sun Tzu, chapter 13 ("The Use of Spies"), online at <http://www.clas.ufl.edu/users/gthursby/taoism/suntext4.htm#SPIES>

- Introduction to the problem of **defectors**. (Vasili Mitrokhin, Oleg Penkovsky.)
Reading: Andrew, Chapter 1, pp. 1-22.

June 30: Quiz #1 – (Scantron)

- **Origins of the KGB** (the Cheka; the OGPU): the use of intelligence services to inflict terror on a population. Stalin's use and misuse of intelligence.
Reading: Andrew, Chapter 2, pp. 23-36.
- **Police powers vs. intelligence powers** (contrasting US police and federal agencies' powers vs. power of Soviet security services). Foreign Intelligence Surveillance Act (FISA). USA Patriot Act of 2001. Issues of government surveillance in a free society.
Reading: Andrew, Ch. 9, pp. 154-161. Online: Executive Order 12333 (search for "E.O.12333" using Google or other search engine). Also read Sec. 203, USA Patriot Act of 2001. See www.epic.org/privacy/terrorism/hr3162.html.

July 7: Mid-term Exam – bring Blue Book and Scantron form

- **The "Great Illegals" and the "Cambridge Five"** (Penetrations of the main adversary's intelligence services.)
Reading: Andrew, Ch. 4, pp. 56-67
- **The nuclear spies** in and around the Manhattan Project; personnel security issues in US government agencies.
Reading: Andrew, Ch. 8, pp. 122-132; Ch. 10, pp. 163-165, 172-175
- **VENONA, ENORMOZ and the CAMBRIDGE FIVE:** a pivotal confluence of three major intelligence operations.
Reading: Andrew, Ch. 9, pp. 143-144; 154-161.

July 14: Research papers due

- **The Cold War, early years:** Penetration by Soviets of US government in 1940s and '50s; The Cuban Missile Crisis; KGB defections and volunteers.
Reading: Andrew, Ch. 10, pp. 164-175, and Ch. 11, pp. 176-189
- **COINTELPRO**, the Anti-War Movement, the Church Committee, and resultant restrictions on the FBI.
Reading: Online articles: (1) Attorney General Guidelines of 1976, http://www.cicentre.com/Documents/DOC_Wannall_Undermining_Intel.htm;
(2) AG Guidelines ("NSI Guidelines"), 2003, <http://www.fas.org/irp/agency/doj/fbi/nsi-fact.html>.

July 19: Quiz #2 (Scantron)

- **The Cold War, later years:** The Walker Family Spy Ring; SIGINT; Science and Technology (S&T) collection.
Reading: Andrew, Ch. 13, pp. 205-220, and Ch. 21, pp. 337-354
- **Aldrich Ames, Robert Hanssen, et al:** penetrations of the CIA and FBI, and the resultant changes in organization and practice within those agencies.
Reading: Online sources TBA.

**July 28: Final Exam at 9:45am in Room MH520
(Bring large BLUE BOOK and SCANTRON form.)**