

JS137 INTELLIGENCE Spring Semester 2006

Instructor: William Cleveland, Jr., JD

Office: Room MH529

Office Hours: Tuesdays/Thursdays 11:45am -12:45pm and 4:15– 5:15pm.

Office Telephone: no phone (do not leave messages on the listed number)

Email: alamedagroup@mindspring.com (Use email as primary contact medium.)

Class Meeting Days/Hours: Tuesdays and Thursdays, 3:00 – 4:15pm, Room MH520

Web site: <http://billcleveland.pageout.net> (This greensheet, and all handouts and assignments will be found at this web address. Register and login...(no charge). Click on “JS137” in list of courses.)

Course Description:

This course covers the history, missions, organization and practices of international intelligence agencies, with special emphasis on the relationship of the intelligence community to the justice system. The historical backdrop will be the Cold War between the former Soviet Union and the US/UK. Current issues discussions will center around ongoing espionage cases, and reports of various committees and agencies on the performance of the FBI and CIA in collecting, analyzing and disseminating intelligence.

Required Text:

The sword and the shield: The Mitrokhin archive and the secret history of the KGB, by Christopher Andrew and Vasili Mitrokhin, published by Basic Books, 1999. (If the bookstore has sold out its copies, see ABEbooks.com, or other used books web sites.) Additional reading assignments may be announced during the semester, and placed on instructor’s web site. Check this web site frequently for announcements, reading assignments, and “handouts”.

Course Format:

The primary mode of delivery of this course is lecture. Students should augment their lecture notes with outside sources, as indicated in lectures and in the text.

Class Participation: Students are responsible for keeping up with the assigned reading, and being prepared to discuss it in class. Students will be called upon at random during the semester to add to the discussion, or to assist in a demonstration in class. Records will be kept of the students’ participation.

Quizzes and Exams: Exams and quizzes will cover only the topics that have been covered in lectures up to that date. Any assigned readings that are relevant to those topics may also be covered on the exam or quiz. Since quizzes (but not exams) are “open notebook” tests, you may use any notes you took from the readings, but not the books or articles themselves.

The student’s grade will be determined by the results of one mid-term exam, two quizzes, class participation and a final exam, as follows:

mid-term exam	25%	No notes, no memory aids allowed. Bring SCANTRON & blue book.
quizzes (2) **	30%	15% each (Open notebook: use class notes only.)
research paper (optional)	For extra credit	This assignment is optional*. See additional information below. (Grad students see instructor web site for memo: <i>Research paper requirements for graduate students.</i>)
class participation	10%	Participating in discussions; assisting in demonstrations; answering when called upon; taking any unannounced written quizzes/surveys.
final exam	35%	No notes, no memory aids allowed. Bring SCANTRON & blue book.

*The paper is not optional for graduate students.

**Graduate students are not required to take the quizzes, as their research paper will be 30% of the total grade. However, the quizzes may be taken for extra credit. The higher of the two scores (or the one quiz score, if only one quiz is taken), will be counted for additional points added to the mid-term exam grade. (See instructor for details.)

A SCANTRON form (Form 882-E) will be needed for all quizzes and exams. A BLUE BOOK (large size) will be needed, as well, for the mid-term and final exams.

Research Paper (optional – for extra credit) The paper is graded A, B, C or “no credit”. To rate “credit” for the paper, the quality must be deemed by the instructor to be equivalent to a grade of C or better. Extra credit points (10 for a C-quality paper, 15 for a B-quality paper, 20 for an A-quality paper) will be applied to the midterm exam grade. Should fewer than the allowed number of extra credit points bring the midterm exam score up to 100, the points left over will be added to the lower of the two quiz scores.

- **Topics:** See “handout” on instructor’s web site, captioned: *Approved topics and requirements for JS-137 research papers*. Your paper must be written on one of these topics. An exception is made for graduate students, who may select their own topic. (**Grad students:** see “handout” on instructor’s web site, captioned, *Research paper requirements for graduate students.*)
- **Length:** 5 pages maximum, not including cover page and references list.
- **Formatting:** Submit in **hard copy, single-spaced, in 12-point Times, or Times New Roman font, with one-inch margins**. (Emailed versions will not be read.)
- **Sources:** References (sources) must number at least five, authored by named persons; no web sites may be used as sources if they do not name the person who wrote the material you are using. (An exception is made for official government web sites...any government...as those sites proclaim official policy.) At least two of the sources are to be “scholarly” or “expert professional” sources. Sources are to be cited within the text using the APA style citation method. The references list must also be in APA style.
- For APA style citations see internet APA sites, for example: www2.sjsu.edu/orgs/pkp/resources.htm. Then click on “APA Citation Style – Cornell University”.
- **Content and subheadings:** See instructor’s web site for “handout” mentioned above under “Topics”.
- **Due date:** Deadline is in greensheet below, and on instructor’s web page.

PENALTIES FOR LATE SUBMISSION: *Papers submitted more than one class meeting past the due date will not be accepted. A five points penalty will be assessed if the paper is submitted past the due date.*

In accordance with University policy, any student caught cheating or plagiarizing can be given a score of “0” for that exam or paper, and, at minimum, will be reported to the Office of Judicial Affairs with appropriate recommendations for disciplinary action. For the University policy, see http://sa.sjsu.edu/download/judicial_affairs/Academic_Integrity_Policy_S04-12.pdf

Extra Credit Work: See “Research Paper” (above). Any additional extra credit will be at the discretion of the instructor, and is not guaranteed, other than the following:

For five extra points added to your lower quiz score, see *Plagiarism: The Crime of Intellectual Kidnapping* located on the SJSU web site at <http://130.65.109.143/plagiarism/index.htm>

Use your student ID number (Tower Card) to register as a "SJSU Students- First Time" and complete the module and quizzes. Send me an email copy of your quiz score, if my name is not listed for you to select.

Missed exams and quizzes:

Missing an exam or quiz is a serious matter. Taking an exam after others have taken it may give one an undue advantage. If a student misses an exam or quiz, a make-up exam or quiz will be afforded. There will be a *five-point deduction* from the exam or quiz grade, *unless* the student has a medical doctor’s note explaining the absence on the scheduled exam day, or documentation of the student’s participation in a University-sponsored activity, or documentation of a serious family emergency.

Failure to complete any of the exams, quizzes or the paper will result in a score of “0” for that assignment, or an “I” for the course, at the instructor’s discretion (depending upon the circumstances). Extra credit points cannot be used in lieu of any of the required assignments.

Order of topics, reading assignments, and exam/paper deadlines:

The order of topics and readings indicated below will be followed, so that the student may know how to keep ahead of lecture topics in the readings. The exact dates of lecture topics cannot be predicted with certainty. Exams and quizzes will cover only the topics that have been covered in lectures up to that date. Any assigned readings that are relevant to those topics may also be covered on the exam or quiz. Since quizzes are “open notebook” tests, you may use any notes you took from the readings, but not the books or articles themselves.

- Introduction to the intelligence process, the US Intelligence Community (IC), and counterintelligence. (Download chart of US IC from instructor’s web site.)
Reading: *The Art of War*, Sun Tzu, chapter 13 (“The Use of Spies”), online at <http://www.clas.ufl.edu/users/gthursby/taoism/suntext4.htm#SPIES>

- Introduction to the problem of defectors. (Vasili Mitrokhin.)
Reading: Andrew, Chapter 1, pp. 1-22.

- Origins of the KGB (the Cheka; the OGPU): the use of intelligence services to inflict terror on a population. Stalin's use and misuse of intelligence.

Reading: Andrew, Chapter 2, pp. 23-36.

February 14: Quiz #1 – (SCANTRON) “Open notebook.”

- Police powers vs. intelligence powers (contrasting US police and federal agencies' powers vs. power of Soviet security services prior to 1991). Foreign Intelligence Surveillance Act (FISA). USA Patriot Act of 2001. Issues of government surveillance in a free society.

Reading: Andrew, Ch. 9, pp. 154-161. Online: Executive Order 12333 (search for “E.O.12333” using Google or other search engine). Also read Sec. 203, USA Patriot Act of 2001. See www.epic.org/privacy/terrorism/hr3162.html.

- The 9/11 Commission recommendations for change within the US intelligence community.

Reading: The 9/11 Commission Report, pp. 407-419. (If reading online, read in PDF format to find page numbers.)

March 15: Mid-term Exam – bring large Blue Book and SCANTRON form.

March 21: Research paper due (hard copy only).

- The “Great Illegals” and the “Cambridge Five” (Penetrations of the adversary's intelligence services.)

Reading: Andrew, Ch. 4, pp. 56-67

- The nuclear spies in and around the Manhattan Project; personnel security issues in US government agencies.

Reading: Andrew, Ch. 8, pp. 122-132; Ch. 10, pp. 163-165, 172-175

- VENONA, ENORMOZ and the CAMBRIDGE FIVE: a pivotal confluence of three major intelligence operations.

Reading: Andrew, Ch. 9, pp. 143-144; 154-161.

April 11: Quiz #2 (SCANTRON) “Open notebook.”

- The Cold War, early years: Penetration by Soviets of US government in 1940s and '50s; Oleg Penkovsky; The Cuban Missile Crisis; KGB defections and volunteers.

Reading: Andrew, Ch. 10, pp. 164-175, and Ch. 11, pp. 176-189

- COINTELPRO, the Anti-War Movement, the Church Committee, and resultant restrictions on the FBI.

Reading: Online articles: *Attorney General Guidelines of 1976*, by Ray Wannall, former assistant director, FBI.

http://www.cicentre.com/Documents/DOC_Wannall_Undermining_Intel.htm;

- The Cold War, later years: The Walker Family Spy Ring; SIGINT; Science and Technology (S&T) collection.

Reading: Andrew, Ch. 13, pp. 205-220, and Ch. 21, pp. 337-354

- Aldrich Ames, Robert Hanssen, et al: penetrations of the CIA and FBI, and the resultant changes in organization and practice within those agencies.

Reading: Online sources TBA.

<p>May 23: Final Exam at <u>2:45pm</u> in Room MH520 (Bring <u>large BLUE BOOK</u>, and SCANTRON form – 50 rows.)</p>
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