# Principles of X-ray Crystallography 

Advisor: Raymond Kwok, Ph.D.

Coadvisor: Sotoudeh Hamedi-Hagh, Ph.D.

Committee Member: Masoud Mostafavi, Ph.D.

Supervisor: Tri Caohuu, Ph.D.

Luke Snow
58 Mount Hermon Rd
Scotts Valley, CA 95066
(831) 440-9170

LukeSnow@Gmail.com

## Abstract

- The goal of this paper is to verify the principles of crystallography at radiofrequencies, and then use the principles to design an antenna.


## Outline

- Motivation and Introduction
- Verify Principles
- Bragg's Law
- Scherrer Law
- Experimental Verification
- Switched beam design
- Conclusion and Further Work


## The Classic Experimental Setup



## Some Typical Data...



## Some Typical Data...



## Principles of X-ray Diffraction

- Bragg's Law
- The Scherrer Equation
- The Reciprocal Lattice
- The Ewald Sphere
- The Scattering Factor


## Motivation and Methodology

- To apply the concepts verified to design an antenna.
- To verify the concepts, the flow chart at right was used.



## Motivation and Methodology

- The concepts verified were employed to design an antenna, shown in the flow chart.



## Background

- X-ray Crystallography is a well established field.
- Born with the Discovery of Bragg's Law, in 1912.
- Basic principles are used to determine crystal structure, size, and defects.


## Photonic Crystals

- Pioneered by E. Yablonovitch in 1987.
- Most applications employ the band stop and band pass properties of photonic crystals
- Beam focusing antenna substrate
- Tunable 4-port switch
- Band pass or band block filters


## Antenna

- The design can be thought of as an antenna array.
- The design presented, and the analysis behind it, appear to be unique.


## Direction of Main Lobe

- The direction of the main lobe of the antenna is determined by Bragg's Law:
$\lambda=2 \mathrm{~d} \sin \theta$



## Sample Level View



## Bragg's Law Verified Experimental Setup



Top View


Skewed View

## Results



34 Degree Incidence


45 Degree Incidence


## Summary - Peak Locations

| Predicted | Observed |  |
| :---: | :---: | :---: |
| $\theta$ | $(\phi-90) / 2$ | $\Delta$ |
| 22 | 22 | $0.00 \%$ |
| 24 | 24.25 | $0.40 \%$ |
| 26 | 25.75 | $0.40 \%$ |
| 28 | 27.75 | $0.30 \%$ |
| 30 | 30 | $0.00 \%$ |
| 32 | 32.25 | $0.30 \%$ |
| 34 | 34.5 | $0.60 \%$ |
| 36 | 37.25 | $1.50 \%$ |
| 38 | 39 | $1.20 \%$ |
| 40 | 41 | $1.20 \%$ |
| 42 | 44.25 | $2.60 \%$ |
| 44 | 44.5 | $0.60 \%$ |
| 45 | 46 | $1.10 \%$ |
| 46 | 46.75 | $0.80 \%$ |
|  | Avg: | $0.80 \%$ |
|  | $R S D$ | 0.87 |

## Beam Width

- The beam width (FWHM) is given by the Scherrer Law:
$B(2 \theta)=K \lambda /(N a \cos \theta)$
- K-shape factor
- N - size of the crystal in unit cells
- a - unit cell length for a square crystal
- $\lambda$-Wavelength
- $\theta$ - Bragg angle


## Verification

- The Scherrer law is verified in two ways - By varying N , holding all other quantities constant. Expect a $1 / \mathrm{N}$ dependence, and values of $K$ on the order of unity.
- Vary $\theta$ and a together; Use Bragg's Law to substitute for a in the Scherrer equation: $\mathrm{B}(2 \theta)=2 \mathrm{~K} \tan \theta / \mathrm{N}$


## Results



K = 1.02 Gave Best Fit

## Results



K = 0.90 Gave Best Fit

## Experimental

- An antenna was constructed to verify Bragg's law.
- The antenna consisted of a waveguide, horn, and a parallel plate/crystal section.
- The antenna was designed to operate in the 6 GHz region.


## Experimental

- Data was taken in a Compact Antenna Test Range (CATR).
- A VNA with $0-40 \mathrm{GHz}$ capability was used to take data.
- A WR137 waveguide to coax adapter was used for the detector.
- Two WR137 waveguides were used for a reference.
- Far Field for this design was 12 ft .
- Data was taken at approximately 14 ft , for an angular resolution of 0.5 deg .


## Waveguide section

| Parameter | Value |
| :---: | :---: |
| OD | $1.5^{\prime \prime} \times 1.0^{\prime \prime}$ |
| ID | $1.25^{\prime \prime} \times 0.75^{\prime \prime}$ |
| $\mathrm{f}_{\mathrm{c} 10}$ | 4.72 GHz |
| $\mathrm{f}_{\mathrm{c} 11}$ | 9.17 GHz |
| Length | $12^{\prime \prime}$ |

## Horn Section



## Parallel Plate Section

| d | $1^{\prime \prime}$ |
| :---: | :---: |
| $\mathrm{f}_{\mathrm{c} 00}$ | 0 GHz |
| $\mathrm{f}_{\mathrm{c} 10}$ | 6 GHz |

## Crystal Section

| Post | $1 / 8^{\prime \prime}$ |
| :--- | :--- |
| Diam |  |
| $\theta$ | $30^{\circ}$ |
| $\lambda$ | $2^{\prime \prime}$ |



## Results

- Return Loss was better than -20 dB at 5.9 GHz , and was about -10 dB at 6.223 GHz
- 5.9 GHz corresponds to a wavelength of 2 in , but the best performance was obtained at 6.223 GHz , with a gain over WR137 of 8dB


## Results



HFSS Data, 6GHz


## The best radiation pattern obtained



30 degree incidence

## A polar plot



## Results



Best Performance

## Conclusions and Observations

- Design could be improved with:
- Better grounding
- Higher quality plane wave.
- Larger diameter posts
- Longer interaction length


## Switched Beam Antenna

- Each Crystal has an associated "reciprocal space" - a lattice of points related to those of the direct space crystal.
- The units of this space are inverse length.
- For a direct space rectangular lattice of dimensions a and $b$, the reciprocal lattice is of rectangular, of length $1 / \mathrm{a}, 1 / \mathrm{b}$.
- The "Ewald Circle" may be drawn in reciprocal space to describe an X-ray diffraction experiment, the circle having radius $1 / \lambda$
- When the circle intersects two or more reciprocal lattice points, one or more reflections are created.

For the given diagram, there are two 45 degree reflections. If $\mathrm{a}=\mathrm{b}$ $=0.5$ in, then $\lambda=$ 0.707 in


The reciprocal lattice has been altered by doubling the length of the basis vector in the vertical direction, corresponding to halving the directspace lattice basis vector


## The two models



## The two radiation patterns



## Conclusion

- Various concepts of crystallography have been verified.
- Fruitful parallels between X-ray diffraction and photonic crystals exist, with potential to illuminate ideas in both fields.
- More work to be done before the design is admitted to practical application.
- Additional Measurements with the improved model
- Switched beam measurement


## Pattern after Improvement



## References

- D. Pozar, Microwave engineering, Hoboken NJ: Wiley, 2005, pp. 105,113.
- C.A. Balanis, Antenna Theory: Analysis and Design, 3rd Edition, Wiley-Interscience, 2005, pp. 740,742,756.
- B. Warren, $X$-ray diffraction, Reading Mass.: Addison-Wesley Pub. Co., 1969, pp. 18,31,251-254.
- M. Woolfson, in An introduction to X-ray crystallography, 2nd ed., Cambridge: Cambridge Univ. Press, 1997, p. 108.
- Data Taken in Upper Division Physics Lab, University of California, Santa Cruz, 2001
- W. L. Bragg, "The diffraction of short electromagnetic waves by a crystal," in Proceedings of the Cambridge Philosophical Society, vol. 17, pp. 43-57, 1913.
- A. L. Patterson, "The Scherrer formula for X-ray particle size determination," Physical Review, vol. 56, no. 10, pp. 978-982, 1939.
- P. Scherrer, "Göttinger Nachrichten," Math. Phys, vol. 98, 1918.
- F. Molinet, "Geomatrical Theory of Diffraction(GTD) Part I: Foundation of the theory," IEEE, vol. 29, no. 4, pp. 6-17, Aug. 1987.
- F. Molinet, "Geometrical Theory of Diffraction(GTD) Part II: Extensions and future trends of the theory," IEEE, vol. 29, no. 5, pp. 5-16, Oct. 1987.
- J. B. KELLER, "Geometrical Theory of Diffraction," Journal of the Optical Society of America, vol. 52, no. 2, pp. 116-130, Feb. 1962. See figs. 18-20
- S. John, "Strong localization of photons in certain disordered dielectric superlattices," Physical Review Letters, vol. 58, no. 23, pp. 24862489, 1987.
- E. Yablonovitch, "Inhibited Spontaneous Emission in Solid-State Physics and Electronics," Physical Review Letters, vol. 58, no. 20, pp. 2059-2062, 1987.
- J.M. J. Danglot, O. Vanbesien, D. Lippens, et al, "Toward Controllable Photonic Crystals for Centimeter and Millimeter Wave Devices," Journal of Lightwave Technology, Vol. 17, No. 11, November 1999
- E.R. Brown, C.D. Parker, E. Yablonovitch, "Radiation Properties of a Planar Antenna on a Photonic Crystal Substrate," J. Opt. Soc. Am B10, 404-407,1993
- O. Vanbesien, J. Danglot, D. Lippens, "A Smart KBand 4-Port Resonant Switch Based on Photonic Band Gap Engineering." 29th European Microwave Conference, Munich 1999.
- J. Carbonell, O. Vanbesien, D. Lippens, "Electric field patterns in finite two-dimen-sional wire photonic lattices," Superlattices and Microstructures, Vol. 22, No. 4, 1997


## Acknowledgements

- My advisor, Dr. Ray Kwok
- Bill Shull of Zygo corporation, for helping with the construction of the antenna, and use of his Machine Shop,
- My Supervisor and co-workers at Space Systems Loral, for providing facilities and assistance to make the measurements.
- My Wife and family, for their patience with my seemingly endless project.

Questions?

## The effect of Post Diameter



## Model Specifications

| Parameter | Value |
| :---: | :---: |
| Plate Thickness - Top | 0.1 cm |
| Plate Thickness - Bottom | 0.1 cm |
| Plate Spacing | 1.25 cm |
| Crystal Size | $8 \times 8$ |
| Post Spacing | $\lambda /(2 \sin \theta)$ |
| Post Radius | 0.1 cm |
| Angle of Incidence | $22^{\circ}-46^{\circ}, 2^{\circ} \mathrm{steps} ; 45^{\circ}$ |
| Solution Frequency | 24 GHz |
| Max. $\Delta \mathrm{S}$ | 0.01 |

