

The application works best in Microsoft Word. To add additional lines to any table, place the cursor in the last box and press the “tab” key. Submit the Biological Use Authorization (BUA) as a Word document by email to [biosafety@sjsu.edu](mailto:biosafety@sjsu.edu). The signature page should be completed by DocuSign and sent as a pdf. If more space is needed, please attach a separate sheet. If you need assistance contact the Chair of the Institutional Biosafety Committee (IBC), Laura Miller Conrad at [laura.miller.conrad@sjsu.edu](mailto:laura.miller.conrad@sjsu.edu). Upon approval of the BUA, Principal Investigators will complete a BUA renewal yearly for active biosafety level 2 (BSL-2) research or every 3 years for all other research requiring a BUA (including storage only of BSL-2 materials). To amend an approved BUA, apply changes directly to the approved BUA using Track Changes. Submit the revised BUA to [biosafety@sjsu.edu](mailto:biosafety@sjsu.edu).

**Principal Investigator Information**

Name of Principal Investigator (PI)/Faculty:	<u>D. Mel Anogaster</u>		
Job Title:	<u>Professor</u>	Department:	<u>Biological Sciences</u>
Office Room:	<u>Duncan Hall 00</u>	Lab Room(s):	<u>Duncan Hall 000</u>
Office Phone:	<u>408-924-0000</u>	Lab Phone(s):	<u>Click or tap here to enter text.</u>
Email address:	<u>dmelanogaster@sjsu.edu</u>		

Co-Investigator or Faculty:	<u>Click or tap here to enter text.</u>		
Job Title:	<u>Click or tap here to enter text.</u>	Department:	<u>Click or tap here to enter text.</u>
Office Location:	<u>Click or tap here to enter text.</u>	Lab Room(s):	<u>Click or tap here to enter text.</u>
Office Phone:	<u>Click or tap here to enter text.</u>	Lab Phone(s):	<u>Click or tap here to enter text.</u>
Email address:	<u>Click or tap here to enter text.</u>		

Lab Supervisor/Manager:	<u>E. Coli</u>		
Office Location:	<u>n/a</u>	Lab Phone:	<u>Click or tap here to enter text.</u>
Email address:	<u>Click or tap here to enter text.</u>		

After Hours Contacts	Name:	After Hours Phone:
Principal investigator	<u>D. Mel Anogaster</u>	<u>408-888-8888</u>
Responsible Personnel (optional)	<u>E. Coli</u>	<u>408-777-7777</u>
	<u>Click or tap here to enter text.</u>	<u>Click or tap here to enter text.</u>

**BUA Renewal Information**

<input checked="" type="checkbox"/> New BUA			
<input type="checkbox"/> Renewal	Original BUA #	<u>Click or tap here to enter text.</u>	Expiration Date: <u>Click or tap here to enter text.</u>
<input type="checkbox"/> Amendment	Apply edits to approved BUA using track changes		

***This section for IBC use only***

BUA #	Approval Date	Expiration Date
<u>Click or tap here to enter text.</u>	<u>Click or tap here to enter text.</u>	<u>Click or tap here to enter text.</u>
NIH Recombinant DNA Designation	Biosafety Level	Lab Audit Status
<u>Click or tap here to enter text.</u>	<u>Click or tap here to enter text.</u>	<u>Click or tap here to enter text.</u>

## Submission Guidelines

To prevent any delays in the approval process, consider the following:

- Review CDC [BMBL](#) and [NIH Guidelines](#)
- Refer to [Sample completed BUA application](#) for guidance
- Ensure all lab personnel have completed the appropriate safety training. See [Biosafety Training Information](#) for guidance
- Confirm that any issues noted in your last lab safety audit have been resolved.
- For BSL-2 agents: Schedule a biosafety inspection with IBC chair ([laura.miller.conrad@sjsu.edu](mailto:laura.miller.conrad@sjsu.edu))

## Type of Activity (Check Only One):

Submit a separate BUA application for research activities and teaching activities

<input checked="" type="checkbox"/>	<p><b>Research</b></p> <p>This registration is designed to encompass the <b>research activities involving recombinant DNA and biohazardous materials</b> occurring in the lab in a comprehensive manner, and is thus not limited to a specific grant or project. Please list below all grants/projects to be covered by this application, whether funded or not (note: all biohazardous materials related to each listed grant/project must be completely described on this application).</p>																				
	<table border="1" style="width: 100%;"> <tr> <td style="width: 30%;">General Project Title:</td> <td colspan="3">Roles for Intracellular pH in cancer cell behaviors</td> </tr> <tr> <td>Grant/Project Title(s)</td> <td>Grant Dates</td> <td>Granting Agency/Award #</td> <td>SJSU Account #</td> </tr> <tr> <td>"My big fancy grant"</td> <td>X/X/XXXX- Y/Y/YYYY</td> <td>NIH 12345</td> <td>00-0000-0000</td> </tr> <tr> <td> </td> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> <td> </td> </tr> </table>	General Project Title:	Roles for Intracellular pH in cancer cell behaviors			Grant/Project Title(s)	Grant Dates	Granting Agency/Award #	SJSU Account #	"My big fancy grant"	X/X/XXXX- Y/Y/YYYY	NIH 12345	00-0000-0000								
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<input type="checkbox"/>	<p><b>Teaching</b></p> <p>This registration is designed to encompass the <b>teaching activities involving recombinant DNA and biohazardous materials</b> occurring in the class in a comprehensive manner. If two or more classes are taught with the same biological hazards and standard operating procedures, a single BUA can be submitted. Otherwise, each class should have its own BUA.</p>																				
	<table border="1" style="width: 100%;"> <tr> <td style="width: 70%;">Course Name(s)/Number(s):</td> <td> </td> </tr> <tr> <td>Semesters held:</td> <td> </td> </tr> </table>	Course Name(s)/Number(s):		Semesters held:																	
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Semesters held:																					

## Associated Institutional/Agency Approvals

Additional protocol submissions may be required if research involves human or animal subjects. Note, you can submit your BUA for approval before getting the other approvals, but work on the project cannot commence until all necessary approvals have been obtained.

Does this research involve <b>animal</b> subjects or unfixed tissues? (requires IACUC approval) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	SJSU IACUC #	Approved? (Y/N)	Expiration Date
Does this research involve <b>human</b> subjects or unfixed tissues? (requires IRB approval) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	SJSU IRB #	Approved? (Y/N)	Expiration Date

Does this research involve <b>regulated select agents or toxins?</b> (requires DHHS/USDA approval) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	DHHS/USDA #	Approved? (Y/N)	Expiration Date
Does this research involve <b>human gene therapy?</b> (requires FDA approval) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	FDA/IND #	Approved? (Y/N)	Expiration Date

## Research Materials

Check all that apply

- Project involves recombinant/synthetic nucleic acid molecules, recombinant/synthetic nucleic acid-containing organisms, viruses or cell cultures subject to the [NIH Guidelines for Research Involving Recombinant DNA Molecules](#). **Submit [Attachment A](#)**
- Project involves potential human, animal, or plant pathogens or infectious agents. **Submit [Attachment B](#)**
- Project involves unfixed human or non-human primate organs, tissues, or cell cultures (OTCC) with proven or potential hazard to humans, other animals or plants. (All work with human blood, human blood products, human body fluids, or other potentially infectious human materials such as brain, CNS tissues, lymphoid tissues, gut, bone marrow, and human cell cultures fall into this category. Note: human source material that has been previously fixed are excluded and do not need a BUA.) **Submit [Attachment C](#)**
- Project involves the collection and analysis of environmental samples (e.g., soil, water). **Submit [Attachment D](#)**
- Project involves biological toxins. Toxins are toxic substances produced by bacteria, fungi, protozoa, insects, animals, or plants that have the capability of causing harmful effects when inhaled, ingested, injected or absorbed. Note: Toxins not administered to cells or animals do not warrant a BUA. [Select Toxins](#), regardless of use, require a BUA. **Submit [Attachment E](#)**
- Project involves collection of animals and plants; use of animals, plants that harbor zoonotic agents (e.g., wild trap animals, farm animals, and non-human primates); or cultivation of exotic or noxious plants. **Submit [Attachment F](#)**
- Project involves laboratory animals and/or plants in conjunction with materials described above in Attachment A, B, C, or E. **Submit [Attachment G](#)**
- Project involves storage only of biohazardous agents. **Submit [Attachment H](#)**
- Project involves large scale production of cultures in volumes of 10 liters or more at any time, regardless of risk group or recombinant/synthetic nucleic acid material. **Contact [IBC chair](mailto:laura.miller.conrad@sjsu.edu)** (laura.miller.conrad@sjsu.edu)
- Project involves transfer of recombinant/synthetic nucleic acid molecules into human research subjects. **Contact [IBC chair](mailto:laura.miller.conrad@sjsu.edu)** (laura.miller.conrad@sjsu.edu)

## Brief Non-Technical Summary

In lay language, provide a few sentences describing the research purpose, including goals, objectives, and anticipated outcomes of your research

Science is important. Science is cool.

## Experimental Procedures and Research Methodology

Describe the experimental procedures that involve biohazardous material by providing the appropriate Standard Operating Procedures (SOPs) as attachment(s). A detailed step-by-step protocol is not necessary, but provide sufficient information on your procedures so that the committee can complete a risk assessment. Identify:

- each biohazardous material (e.g., specific cell lines, recombinant plasmids, viral vectors, bacteria, plants, etc.)
- conditions of collection, growth, and transportation
- safety measures to minimize risk of exposure (i.e., PPE, biosafety cabinet or other physical containment)
- spill response plan
- exposure response plan
- use of recombinant DNA molecules, transgenic organisms, or any related concerns
- work practices and special accommodations
- level of expertise of personnel performing procedures

Examples of SOPs that may be needed based on your required attachments are listed below.

- Attachment A – Recombinant DNA SOP, BSL-1 SOP
- Attachment B, C, E – BSL-1 and/or BSL-2 SOP

Refer to the SOP template and sample SOPs for guidance on completing this section.

List the SOPs attached to the application below.

Recombinant DNA SOP  
BSL-1 SOP

## Hazard and Risk Assessment

Based on your risk assessment, what do you perceive to be the highest risk procedures? (i.e., culturing, centrifugation, aerosolization, injection)	Dissection – possible cut hazard for personnel
What safety measures will be instituted to minimize the risk of exposure for procedures listed above? (i.e., use of a biosafety cabinet for culture, centrifuge safety cups, engineered sharps)	We will use disposable scalpel blades with blades fixed to the handle. Scalpels will be disposed in the sharps container immediately after use.
Based on your risk assessment, what overall level of biosafety containment do you propose to use for this research? (Note: the overall BSL should reflect the highest level of biosafety containment to be utilized)	<input checked="" type="checkbox"/> BSL-1 <input type="checkbox"/> BSL-2 <input type="checkbox"/> BSL-2+
<b>Biohazard Signs and Labels</b>	Signs shall be posted at the lab entrance(s). Biohazard labels (stickers) shall be placed on refrigerators, freezers, biosafety cabinets, and incubators. BSL-2 signs will be authorized by the IBC chair.

## Containment Methods

Procedures which may result in the generation of aerosols, splash, or sprays of biological material and safety precautions that should be followed by personnel performing these procedures are as follows:

Procedures/Equipment	Agent(s)/Material(s)	Containment	
<input checked="" type="checkbox"/> Microbiological Growth	<a href="#">E. coli with recombinant DNA</a>	<input type="checkbox"/> Biological Safety Cabinet <input checked="" type="checkbox"/> Benchtop <input checked="" type="checkbox"/> Incubator	<input checked="" type="checkbox"/> Sealed tube/vial <input type="checkbox"/> Other: Click or tap here to enter text.
<input checked="" type="checkbox"/> Tissue Culture/Cell Culture	<a href="#">Non-primate mammalian cell lines</a>	<input checked="" type="checkbox"/> Biological Safety Cabinet <input checked="" type="checkbox"/> Incubator	<input checked="" type="checkbox"/> Sealed tube/vial <input type="checkbox"/> Other: Click or tap here to enter text.
<input checked="" type="checkbox"/> Recombinant DNA in vivo	Recombinant DNA: various constructs Cell/Animal: <i>Drosophila melanogaster</i> ; cultured mammalian cells; <i>E. coli</i>	<input checked="" type="checkbox"/> Biological Safety Cabinet <input type="checkbox"/> Other: Click or tap here to enter text.	
<input checked="" type="checkbox"/> Centrifugation	<a href="#">Recombinant DNA and protein products from <i>Drosophila melanogaster</i>; cultured mammalian cells; <i>E. coli</i></a>	<input checked="" type="checkbox"/> Biological Safety Cabinet <input checked="" type="checkbox"/> Sealed tube/vial <input checked="" type="checkbox"/> Sealed rotor	<input type="checkbox"/> Safety cups <input type="checkbox"/> Other: Click or tap here to enter text.
<input type="checkbox"/> Ultracentrifugation	Click or tap here to enter text.	<input type="checkbox"/> Biological Safety Cabinet <input type="checkbox"/> Sealed tube/vial	<input type="checkbox"/> Other: Click or tap here to enter text.
<input checked="" type="checkbox"/> Sonication	<a href="#">Recombinant DNA and protein products from <i>Drosophila melanogaster</i>; <i>E. coli</i></a>	<input type="checkbox"/> Biological Safety Cabinet <input checked="" type="checkbox"/> Sealed tube/vial	<input type="checkbox"/> Other: Click or tap here to enter text.
<input checked="" type="checkbox"/> Vortexing	<a href="#">Recombinant DNA and protein products from <i>Drosophila melanogaster</i>; cultured mammalian cells; <i>E. coli</i></a>	<input type="checkbox"/> Biological Safety Cabinet <input checked="" type="checkbox"/> Sealed tube/vial	<input type="checkbox"/> Other: Click or tap here to enter text.
<input type="checkbox"/> Homogenization / Blender	Click or tap here to enter text.	<input type="checkbox"/> Biological Safety Cabinet <input type="checkbox"/> Sealed tube/vial	<input type="checkbox"/> Other: Click or tap here to enter text.
<input type="checkbox"/> Fluorescence activating cell analysis/sorting	Click or tap here to enter text.	<input type="checkbox"/> Live cells <input type="checkbox"/> Other: Click or tap here to enter text.	<input type="checkbox"/> Fixed cells Method of fixation: Click or tap here to enter text.
<input checked="" type="checkbox"/> Vacuum	<a href="#">Discarded media from cultured mammalian cells</a>	<input checked="" type="checkbox"/> Biological Safety Cabinet <input checked="" type="checkbox"/> 0.2 µm In-line filter	<input checked="" type="checkbox"/> Disinfectant trap <input type="checkbox"/> Other: Click or tap here to enter text.
<input checked="" type="checkbox"/> Needles / Blades / Capillary Tubes	<a href="#">Scalpel blades for dissection of</a>	<input checked="" type="checkbox"/> Disposable <input type="checkbox"/> Engineered Sharp	<input checked="" type="checkbox"/> Sharps Waste Container <input type="checkbox"/> Other: Click or tap here to enter text.

	<b>Drosophila melanogaster</b>		
<input type="checkbox"/> Finger Prick / Venipuncture	Click or tap here to enter text.	<input type="checkbox"/> Disposable <input type="checkbox"/> Retractable Lancet Sharps	<input type="checkbox"/> Engineered Sharp <input type="checkbox"/> Sharps Waste Container <input type="checkbox"/> Other: Click or tap here to enter text.
<input type="checkbox"/> Animal cage changing/husbandry	Click or tap here to enter text.	<input type="checkbox"/> Biological Safety Cabinet <input type="checkbox"/> Laminar Workbench <input type="checkbox"/> Specific SOP	<input type="checkbox"/> Respirator/N95 mask <input type="checkbox"/> Other: Click or tap here to enter text.
<input type="checkbox"/> Surgery or necropsy of infected animals	Click or tap here to enter text.	<input type="checkbox"/> Biological Safety Cabinet <input type="checkbox"/> Respirator/N95 mask	<input type="checkbox"/> Needle protection device: Click or tap here to enter text. <input type="checkbox"/> Other: Click or tap here to enter text.
<input type="checkbox"/> Injection, inhalation, oral, or dermal administration to animals	Click or tap here to enter text.	<input type="checkbox"/> Route: Click or tap here to enter text. <input type="checkbox"/> Biological Safety Cabinet	<input type="checkbox"/> Respirator/N95 mask <input type="checkbox"/> Other: Click or tap here to enter text.
<input type="checkbox"/> Other, specify procedure and describe containment: Click or tap here to enter text.			

## Biohazardous Materials and Waste Disinfection/Decontamination and Disposal

(check applicable boxes)

**Terminal inactivation and waste disposal.** Indicate your methods for terminal inactivation of the biological agent or transgenic material (microorganisms, animals, plants, plant transformation agents, tissues, etc.). **If generating multiple types of waste please clarify what waste is being disposed of in the text field after each checkbox (i.e., recombinant DNA, infectious, transgenic material, etc.).** If an autoclave will be used to inactivate waste (liquid or solid), the autoclave must be certified by the county for decontamination. If you will be using a method that is not already described below, please use the "Other" field at the bottom and clarify why you are using that method.

### Liquid Waste (liquid cultures, bodily fluids, etc.):

- 10% bleach (final concentration) with 30 minutes of contact time, then drain disposal.
- Decontaminated by college/university technical staff
- Autoclave liquids (121°C, 15 psi, 30 minutes), then drain dispose.
- Not generating liquid waste.

### Solid Waste:

- Decontaminated by college/university technical staff
- Autoclave (121°C, 15 psi, 30 minutes) in red autoclave bags with an indicator (autoclave tape or steam indicator strip).
- Medical waste stream (either through Stericycle or a Ca Dept of Public Health-approved terminal autoclave). In red medical waste bag contained within a leak-proof, lidded, and labeled secondary container.
- Animal caging and bedding is:  autoclaved  treated with disinfectant: Click or tap here to enter text.  
 untreated, regular trash  other: Click or tap here to enter text.
- Not generating solid waste.

### Sharps:

- Medical waste sharps – red biohazard plastic sharps container. Sharps containers will be closed when full and transported to the medical waste accumulation site within 7 days of reaching the fill line.

<input type="checkbox"/>	Non-medical waste sharps – in plastic sharps containers. Sharps containers will be closed when full and transported to a medical waste accumulation site or picked up by EH&S.
<input type="checkbox"/>	Not generating sharps waste.
<b>Animal carcasses, gross tissues and preserved specimens:</b>	
<input checked="" type="checkbox"/>	Disposal by college/university technical staff
<input type="checkbox"/>	Incineration through <input type="checkbox"/> Stericycle or <a href="#">Click or tap here to enter text.</a>
<input type="checkbox"/>	Not generating carcass or tissue waste.
<b>Other terminal inactivation or waste disposal method not already described will be discussed below:</b>	
<a href="#">Click or tap here to enter text.</a>	

<b>Work surfaces, instruments, equipment</b>						
Method	Contact time	Agent(s)/ Material(s)	Benchtops	Stainless Surfaces	Equipment/ Parts	Instruments/ Glassware/ Apparatus
<input type="checkbox"/> Autoclave	<a href="#">Click or tap here to enter text.</a>	<a href="#">Click or tap here to enter text.</a>	<input type="checkbox"/> N/A	<input type="checkbox"/> N/A	<input type="checkbox"/> Daily <input type="checkbox"/> After Use <input type="checkbox"/> After Spill	<input type="checkbox"/> Daily <input type="checkbox"/> After Use
<input checked="" type="checkbox"/> Bleach (freshly diluted to final 10% v/v)	<b>30+ minutes</b>	<b>Discarded cell culture media (mammalian cells &amp; E. coli)</b>	<input type="checkbox"/> Daily <input checked="" type="checkbox"/> After Use <input checked="" type="checkbox"/> After Spill	<input type="checkbox"/> Daily <input type="checkbox"/> After Use <input type="checkbox"/> After Spill	<input type="checkbox"/> Daily <input checked="" type="checkbox"/> After Use <input type="checkbox"/> After Spill	<input type="checkbox"/> Daily <input checked="" type="checkbox"/> After Use
<input type="checkbox"/> Bleach + rinse with 70% alcohol	<a href="#">Click or tap here to enter text.</a>	<a href="#">Click or tap here to enter text.</a>	<input type="checkbox"/> Daily <input type="checkbox"/> After Use <input type="checkbox"/> After Spill	<input type="checkbox"/> Daily <input type="checkbox"/> After Use <input type="checkbox"/> After Spill	<input type="checkbox"/> Daily <input type="checkbox"/> After Use <input type="checkbox"/> After Spill	<input type="checkbox"/> Daily <input type="checkbox"/> After Use
<input checked="" type="checkbox"/> Alcohol (e.g., final 70% v/v EtOH or Isopropyl Alcohol)	<b>10 minutes</b>	<b>Biosafety cabinet, molecular work stations</b>	<input type="checkbox"/> Daily <input checked="" type="checkbox"/> After Use <input type="checkbox"/> After Spill	<input type="checkbox"/> Daily <input checked="" type="checkbox"/> After Use <input type="checkbox"/> After Spill	<input type="checkbox"/> Daily <input checked="" type="checkbox"/> After Use <input type="checkbox"/> After Spill	<input type="checkbox"/> Daily <input checked="" type="checkbox"/> After Use
<input checked="" type="checkbox"/> Quaternary Ammonium Agents	<b>10 minutes</b>	<b>Drosophila work stations</b>	<input type="checkbox"/> Daily <input checked="" type="checkbox"/> After Use <input type="checkbox"/> After Spill	<input type="checkbox"/> Daily <input type="checkbox"/> After Use <input checked="" type="checkbox"/> After Spill	<input type="checkbox"/> Daily <input type="checkbox"/> After Use <input checked="" type="checkbox"/> After Spill	<input type="checkbox"/> Daily <input type="checkbox"/> After Use
<input type="checkbox"/> Other, specify: <a href="#">Click or tap here to enter text.</a>	<a href="#">Click or tap here to enter text.</a>	<a href="#">Click or tap here to enter text.</a>	<input type="checkbox"/> Daily <input type="checkbox"/> After Use <input type="checkbox"/> After Spill	<input type="checkbox"/> Daily <input type="checkbox"/> After Use <input type="checkbox"/> After Spill	<input type="checkbox"/> Daily <input type="checkbox"/> After Use <input type="checkbox"/> After Spill	<input type="checkbox"/> Daily <input type="checkbox"/> After Use

## Protective Equipment

Note: Appropriate lab attire (e.g., closed toed shoes, full leg/ankle/foot coverage (no shorts, ballet flats, sandals, etc.)) must be worn. Personal protective equipment (PPE) must be provided by the laboratory to all research personnel working in the facility

- |   |  |
|---|--|
| <input checked="" type="checkbox"/> Lab coat or gown  | <input type="checkbox"/> Face shield   |
| <input checked="" type="checkbox"/> Safety glasses  | <input checked="" type="checkbox"/> Gloves (nitrile or latex)  |
| <input checked="" type="checkbox"/> Safety goggles  | <input type="checkbox"/> N95 Mask (requires fit test, contact EH&S to schedule; see <a href="#">Respiratory Protection Program</a> ) |
| <input type="checkbox"/> Other: List additional PPE used in the lab: <a href="#">Click or tap here to enter text.</a> |  |

## Research Locations

List all locations (including common equipment rooms) associated with the projects listed on this application where biohazardous material will be manipulated or stored. For each location, indicate the highest level of biological containment (the highest biosafety level (BSL)) to be used and list the equipment available for the containment of the agents. **It is your responsibility to inform all shared-space investigators of the nature of your research, including the identity and use of biohazardous materials.**

<input type="checkbox"/> N/A <b>Laboratory Locations</b>			
Location (Bldg/Room)	Shared room? (Y/N)	BSL	Containment devices/equipment (e.g., biosafety cabinet)
DH 000, Melanogaster Lab	N	1	Biosafety cabinet
DH442, Microscopy Facility	Y	1	Closed dishes holding live tissue samples for imaging
DH 646, Common Equipment Room	Y	1	Sonicator, centrifuges, incubators
DH 638, Common Freezer storage	Y	1	-80° freezers holding biological samples in tertiary containment

<input type="checkbox"/> N/A <b>Biosafety Cabinet Information</b> Note – list only biosafety cabinets in your lab space (not in core facilities)		
Location	Tag #	Certification Expiration Date
DH 000	000000000	Y/Y/YYYY



## Laboratory Personnel

List all personnel involved with work covered under this BUA, including the principal investigator, lab manager/supervisory personnel, undergraduate/master's students, and volunteers. If additional space is needed, place cursor in last cell and press *Tab*. While you do not need to submit an amendment to the BUA each time your lab personnel changes, you must maintain a current list of laboratory personnel and training documentation that can be produced upon request of the IBC or a lab auditor. This section does need to be updated whenever an amendment or renewal is submitted.

Biosafety training is required for each person listed, **including principal investigators**. See [Biosafety Training](#) information.

Name	Title	Email address
D. Mel Anogaster	PI	dmelanogaster@sjsu.edu
E. Coli	Lab manager/ MS student	personA@sjsu.edu
Person B	MS student	personB@sjsu.edu
Person C	MS student	personC@sjsu.edu
Person D	MS student	personD@sjsu.edu
Person E	UG student	personE@sjsu.edu
Person F	UG student	personF@sjsu.edu
Person G	UG student	personG@sjsu.edu
Person H	UG student	personH@sjsu.edu
Person I	UG student	personI@sjsu.edu

## Health Status, Health Surveillance, and/or Immunization Program

Are any special groups of workers (e.g., pregnant, immunocompromised, allergic) at greater risk for infection or disease from the use of this biohazardous material? If so, list these high risk group categories below and describe any additional precautions that will be implemented to protect these special groups.

Yes  No

Click or tap here to enter text.

Are any preventative medical services required (e.g., special vaccinations, serum testing)? If so, describe the required services below.

Yes  No

Click or tap here to enter text.

Are special post-exposure prophylaxis or medical management services needed in case of accidental exposure? If so, please describe them.

Yes  No

Click or tap here to enter text.

## Material Transport

“Shipping and Transporting Biological Material” training through CITI is required prior to shipment. The transport (shipping and receiving) of biological material may require a permit from a variety of agencies, including [USDA/APHIS](#), [CDC](#), and [DOC](#). Approved permits must be on file with the IBC

Transportation	Yes/No	Agent/Material	Permit required?	
within campus labs	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	E. coli, cultured mammalian cells & lysates	N/A	
Domestic (local, intrastate, or interstate)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Transgenic Drosophila lines	<input type="checkbox"/> Yes, type: Click or tap here to enter text.	<input checked="" type="checkbox"/> No
International	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Transgenic Drosophila lines	<input type="checkbox"/> Yes, type: Click or tap here to enter text.	<input checked="" type="checkbox"/> No
Transport in Dry Ice	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Click or tap here to enter text.	N/A	
Transport in Ethanol	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Click or tap here to enter text.	N/A	
Transport in Formalin (Formaldehyde)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Click or tap here to enter text.	N/A	
Lab Designee responsible for material transport	Name	D. Mel Anogaster		
	Email	dmelanogaster@sjsu.edu		
	Phone	408-924-0000		

## Acknowledgement of Responsibilities

By checking each statement below and signing the signature page, I certify that I have read the following statements and agree that I and all listed participants will abide by those statements as well as all SJSU policies and procedures governing the use of recombinant DNA, infectious agents and other biohazardous materials.

- I recognize that I have a responsibility for ensuring the information provided in this application is complete, accurate and thorough by participating in the development of the BUA application and conducting a review of the protocols.
- I recognize that I have responsibilities for ensuring that anyone who enters my laboratory practices appropriate biosafety precautions.
- I recognize that I have responsibilities for ensuring that all listed participants conducting this work have received or will receive appropriate training in safe laboratory practices and procedures for this protocol before any work begins on this project. Also, I have a responsibility for ensuring that anyone working in or having access to spaces where this project is conducted must be instructed on the hazards associated with this project. The IBC or EH&S may review my records documenting the training or instruction and may enter my laboratory at any time to review my operations.
- I recognize that I have a responsibility to be informed (and ensure that all staff members are similarly informed) that certain medical conditions might increase an individual's risk of potential health problems when working with pathogenic microorganisms and/or animals. These conditions can include: pregnancy, immunosuppression, animal-related allergies, and chronic skin conditions. I will inform all researchers that should they have a medical condition that makes them more susceptible to infection, they should speak to their personal physician or an occupational health physician, the result of which may include modifications to PPE, work practices, or work assignments. I understand that I cannot bar individuals from working with pathogenic microorganisms and/or animals based on a medical condition, though I am required to make reasonable accommodations for an individual with such a medical condition as directed by their physician.
- I certify that I will properly classify, identify, pack, mark, label, and document shipments for transport. Any special arrangements such as notifying the consignee (receiver) of import permits for international shipments shall fall under my responsibility. I shall ensure that the consignee has obtained all necessary import permits to facilitate the safe and legal acceptance of the shipment. I am also responsible for notifying the courier for whom the package should be directly delivered. I am responsible for ensuring the package is delivered directly to the person and address indicated on the outer packaging. Obtaining export permits is my responsibility. I certify that I or other authorized personnel in my laboratory have been trained to properly package and ship the materials.
- I certify that I will inspect the package and documents and close the shipping loop by informing the shipper that the consignment has arrived. I am also responsible for reporting any leakage and, if required, obtaining any import permits. I shall ensure special arrangements are in place for the courier to directly deliver the package to the person named on the outer packaging.
- I recognize that I have a responsibility for reporting to the IBC chair immediately any spill of biohazardous material, any containment equipment or facility failure, any permitted decontamination of equipment, and/or any breakdown in procedures, which may result in potential exposure of laboratory personnel and/or the public to the biohazardous material.
- I recognize that I have a responsibility for reporting to the IBC chair immediately should an employee or student become ill and/or exhibit symptoms and signs consistent with an infection by an organism associated with my research.
- I recognize that I have a responsibility for following all the applicable guidelines as approved for this protocol.
- I recognize that I have a responsibility for submitting in writing a request for approval from the IBC of any significant modifications to the study, which could result in an increased level of risk.
- I recognize that I will not carry out the work described in this application, including all revisions, until it has been approved by the IBC.

By checking each guideline below and signing the signature page, I certify that I have **read** the following guidelines that are applicable and **agree** that I and all listed personnel will **adhere** to the specifics of the guidelines. Check N/A if not applicable.

- |  |   |
|--|---|
| <input type="checkbox"/> <a href="#">Guidelines for Working with Human Source Materials</a>                                      | <input checked="" type="checkbox"/> N/A |
| <input type="checkbox"/> <a href="#">Guidelines for Drawing Human Blood</a>  | <input checked="" type="checkbox"/> N/A |
| <input checked="" type="checkbox"/> <a href="#">EH&amp;S Bloodborne Pathogen Program</a>   | <input type="checkbox"/> N/A            |
| <input type="checkbox"/> <a href="#">Guidelines for Research with Viral Vectors</a>  | <input checked="" type="checkbox"/> N/A |
| <input checked="" type="checkbox"/> <a href="#">Guidelines for Creation, Importation and/or Breeding of Transgenic Organisms</a> | <input type="checkbox"/> N/A            |
| <input checked="" type="checkbox"/> <a href="#">SJSU Waste Management Program</a>  | <input type="checkbox"/> N/A            |

This signature page of the BUA application should be signed in DocuSign and submitted as a pdf with the application.

## Signatures

Principal Investigator:

Date:

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Co-Principal Investigator:

Date:

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Faculty member with shared research space or Faculty member to whom the laboratory space is assigned (if different from Principal Investigator):

Date:

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